

the ip record

Annual U.S. IP Developments

including

Top 300 Patent Owners

U.S. Patent, Trademark, Copyright and Litigation Graphs and Tables

Federal Circuit Summaries

IPO Amicus Brief Highlights



Intellectual
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2008

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SEPTEMBER 21-23, 2008

IPO Annual Meeting

San Diego, CA - Hotel Del Coronado

OCTOBER 3, 2008

Corporate IP Management
Roundtable

Chicago, IL - Westin O'Hare

SEPTEMBER 13-15, 2009

IPO Annual Meeting

Chicago, IL - The Chicago Hilton

SEPTEMBER 12-14, 2010

IPO Annual Meeting

Atlanta, GA - Hyatt Regency Atlanta

SEPTEMBER 11-13, 2011

IPO Annual Meeting

*Los Angeles, CA - J.W. Marriott Los Angeles
at L.A. Live*



Intellectual
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DECEMBER 1, 2008

PTO Day

*Washington, DC - Ronald Reagan Building
and International Trade Center*

DECEMBER 2, 2008

Patent Interferences Rules
and Practices

*Washington, DC - Ronald Reagan Building
and International Trade Center*

APRIL 19-21, 2009

International Judges Conference

Washington, DC - Mandarin Oriental

For event updates and registration information, please visit the IPO meetings and events calendar at **www.ipo.org**.

Dear IPO Members and Colleagues:

It is my pleasure to introduce the IP Record, a new publication offering members a convenient compilation of annual IP statistics, including the popular Top 300 Patent Owners for 2007. I believe you will find this a useful reference tool, along with the online information resources for members, including the IPO Daily News™, IPO's Federal Circuit Summaries™, and the other member-only sections at www.ipo.org.



Over the next few years we will likely see many changes in IP rights. My goal is for IPO to lead these changes. As the only IP organization representing owners in all industries and areas of technology, as well as attorneys in private practice, IPO is in a unique position to advocate positive changes in the system.

If you are a member of IPO, but are not currently taking advantage of your membership by participating in IPO programs, visit the website or call the IPO office and find out how you can make a difference. If you are not a member, I urge you to consider joining. Visit www.ipo.org/joinipo or call the staff at (202) 466-2396 today for more information.

Cordially,

A handwritten signature in cursive script that reads "Steven W. Miller".

Steven W. Miller
IPO President
Procter & Gamble Co.

PS – Mark your calendar now for the 2008 Annual Meeting, September 21-23, at the beautiful Hotel Del Coronado in San Diego, California!

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IPO Members:

- **Promote** more certain, effective IP rights and lower IP costs worldwide.
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- **Receive** free e-mail subscriptions to the *IPO Daily News™*.
- **Access** members-only features at www.ipo.org.
- **Attend** CLE conferences for education opportunities.
- **Join** one of 28 Standing IP committees.
- **Participate** in IP public awareness campaigns.

"Belonging to IPO is an important part of being a member of the IP community"
Gerald DePardo, The Travelers Company, Inc. New IPO Corporate Member 2007

Intellectual Property Owners Association (IPO) is a trade association for owners and others interested in patents, trademarks, copyrights, and trade secrets. IPO is the only association in the U.S. that serves all intellectual property owners in all industries and all fields of technology.

Established in 1972, IPO advocates effective and affordable IP ownership rights and provides a wide array of services to members.

The association is operated by chief intellectual property counsel of major companies. The governing body is the 50-member Board of Directors, which is elected by the membership and sets IPO policy. IPO has an experienced staff of eleven full-time employees in Washington, DC.

For information on how to join, go to www.ipo.org/joinipo.

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Top 300 Organizations Granted U.S. Patents in 2007

NOTE: IPO DOES NOT INTEND TO ENCOURAGE MORE PATENTING IN U.S.

This annual report listing the organizations that received the most U.S. utility patents is being published by IPO for the 25th consecutive year. It is based on data obtained from the U.S. Patent & Trademark Office. Patents granted to parent and subsidiary companies are combined in some instances. See the end notes for background on how the report was prepared.

IPO does not intend for this report to encourage or discourage patenting. Some critics believe companies are applying for too many patents. In 2007 the U.S. Patent & Trademark Office announced that it would no longer publish its own report on organizations receiving the most patents, because it wanted to discourage “any perception that we believe more is better.” IPO has opted to continue publishing this IPO report, however, because the number of patents granted is one of the few objective measures of the patent system as a whole and the patenting activities of individual industries and companies. IPO and others are studying ways to develop more reliable measures of patent quality.

May 21, 2008

2007 Patent Owners Numerical Listing

Rank	Organization	Patent	Rank	Organization	Patent
1	International Business Machines Corp.	3,125	45	Sanyo Electric Co., Ltd.	454
2	Samsung Electronics Co., Ltd.	2,723	46	Lucent Technologies Inc.	432
3	Canon K.K.	2,047	47	Boeing Co.	428
4	Matsushita Electric Industrial Co., Ltd.	1,972	48	LG Philips LCD Co., Ltd.	418
5	Intel Corp.	1,864	49	Semiconductor Energy Laboratory Co., Ltd.	413
6	Toshiba Corp.	1,734	50	Hynix Semiconductor Inc.	405
7	Microsoft Corp.	1,662	51	Boston Scientific Corp.	403
8	Micron Technology, Inc.	1,484	52	Konica Corp.	386
9	Hewlett-Packard Co.	1,470	53	United Technologies Corp.	367
10	Sony Corp.	1,454	54	Delphi Technologies, Inc.	356
11	Siemens AG	1,432	55	Ford Global Technologies, LLC	352
12	Hitachi, Ltd	1,381	56	Toyota Jidosha K.K.	351
13	General Electric Co.	1,369	57	TDK Corp.	338
14	Fujitsu Ltd.	1,293	58	University of California, Regents of	333
15	Seiko Epson Corp.	1,205	59	Brother Kogyo K.K.	325
16	Infineon Technologies AG	847	60	Freescale Semiconductor, Inc.	322
17	Denso Corp.	753	61	Hitachi Global Storage Technologies Netherlands B.V.	322
18	Texas Instruments, Inc.	749	62	Hon Hai Precision Ind. Co., Ltd.	321
19	Ricoh Co., Ltd.	727	63	BASF Group	315
20	AT&T	726	64	Samsung SDI Co., Ltd.	315
21	LG Electronics Inc.	682	65	Fuji Xerox Co., Ltd.	313
22	Nokia Corp.	679	66	Applied Materials, Inc.	310
23	Honda Motor Co., Ltd.	677	67	Nissan Motor Co., Ltd.	305
24	Fujifilm Corp.	660	68	Advanced Micro Devices, Inc.	304
25	Sun Microsystems, Inc.	658	69	Fuji Photo Film Co., Ltd	294
26	Koninklijke Philips Electronics N.V.	654	70	Avago Technologies (Singapore) Pte. Ltd.	291
27	Sharp Corp.	646	71	Halliburton Energy Services, Inc.	284
28	Motorola, Inc.	631	72	Qualcomm, Inc.	284
29	Honeywell International Inc.	605	73	Agilent Technologies, Inc.	282
30	DuPont	601	74	Genentech, Inc.	281
31	NEC Corp.	600	75	OKI Electric Industry Co., Ltd.	279
32	Cisco Technology, Inc.	580	76	Procter & Gamble Co.	278
33	Robert Bosch GmbH	568	77	Nortel Networks Ltd.	272
34	Johnson & Johnson	550	78	Altera Corp.	268
35	General Motors Corp.	539	79	United States of America, Navy	268
36	Broadcom Corp.	533	80	ASML Holding N.V.	266
37	Silverbrook Research Pty., Ltd.	533	81	NEC Electronics Corp.	261
38	Eastman Kodak Co.	514	82	Illinois Tool Works Inc.	259
39	Xerox Corp.	514	83	Olympus Corp.	256
40	Renesas Technology Corp.	505	84	Telefonaktiebolaget LM Ericsson	253
41	STMicroelectronics, Inc.	486	85	Medtronic Inc.	250
42	3M Innovative Properties Co.	473	86	LSI Logic Corp.	247
43	Taiwan Semiconductor Manufacturing Co., Ltd.	467	87	Lockheed Martin Corp.	244
44	Mitsubishi Denki K.K.	459			

2007 Patent Owners Numerical Listing

Rank	Organization	Patent	Rank	Organization	Patent
88	ExxonMobil Corp.	239	134	Rohm Co. Ltd.	143
89	Seagate Technology, LLC	237	135	Analog Devices, Inc.	142
90	Xilinx, Inc.	233	136	Finisar Corp.	142
91	Industrial Technology Research Institute, Taiwan	229	137	Massachusetts Institute Of Technology	141
92	Pfizer Inc.	228	138	Ben Q Corp.	138
93	National Semiconductor Corp.	227	139	Monsanto Co.	138
94	Tokyo Electron Ltd.	225	140	Shell Oil Co.	138
95	Pioneer Corp.	223	141	Interdigital Technology Corp.	137
96	Thomson Licensing S.A.	221	142	SanDisk Corp.	135
97	Schlumberger Technology Corp.	217	143	Nikon Corp.	134
98	Electronics and Telecommunications Research Institute	206	144	Northrop Grumman Corp.	134
99	GlaxoSmithKline	205	145	Dell Products, L.P.	133
100	Chrysler LLC	203	146	Macronix International Co., Ltd.	129
101	Agere Systems Inc.	193	147	Rohm and Haas Co.	129
102	Caterpillar Inc.	193	148	Lear Corp.	127
103	Sprint Communications Co., L.P.	193	149	Carl Zeiss SMT AG	126
104	Dongbu Electronics Co., Ltd.	189	150	Mediatek Inc.	126
105	Corning Inc.	187	151	Raytheon Co.	126
106	Black & Decker Inc.	184	152	United Microelectronics Corp.	125
107	VIA Technologies, Inc.	183	153	Funai Electric Co., Ltd.	123
108	AU Optronics Corp.	181	154	Eaton Corp.	121
109	NXP B.V.	181	155	Wyeth	119
110	Yamaha Corp.	174	156	Apple, Inc.	118
111	EMC Corp.	173	157	California Institute Of Technology	116
112	Kimberly-Clark Worldwide, Inc.	173	158	NGK Insulators Ltd.	116
113	Baker Hughes Inc.	172	159	Pacesetter, Inc.	116
114	Alps Electric Co., Ltd.	168	160	Cypress Semiconductor Corp.	115
115	Oracle International Corp.	168	161	Minolta Camera Co., Ltd.	113
116	Sharp Laboratories Of America, Inc.	168	162	Spansion LLC	113
117	Lexmark International, Inc.	167	163	Hyundai Motor Co.	109
118	EADS	166	164	Sumitomo Chemical Co., Ltd.	109
119	Tyco Electronics Corp.	166	165	Nvidia Corp.	108
120	Bristol-Myers Squibb Co.	164	166	CNH America LLC	106
121	Deere & Co.	164	167	Murata Manufacturing Co., Ltd.	106
122	L'Oreal S.A.	163	168	Toyoda Gosei K.K.	106
123	Marvell International Ltd.	160	169	Hong Fu Jin Precision Industry (Shenzhen) Co., Ltd.	105
124	Alcatel	154	170	Omron Corp.	105
125	Sanofi-Aventis	153	171	Merck Patent Gesellschaft Mit Beschränkter Haftung	104
126	SAP AG	150	172	NCR Corp.	103
127	Research In Motion Ltd.	149	173	Sumitomo Wiring Systems, Ltd.	103
128	Samsung Electro-Mechanics Co., Ltd.	149	174	AstraZeneca AB	100
129	Sumitomo Electric Industries Co., Ltd.	149	175	Nitto Denko Corp.	100
130	Merck & Co., Inc.	146	176	Hoffmann-La Roche Inc.	99
131	Yazaki Corp.	146	177	U.S. Department of Health & Human Services	99
132	NTT Docomo, Inc.	145	178	KLA-Tencor Technologies Corp.	98
133	United States Of America, Army	144			

2007 Patent Owners Numerical Listing

Rank	Organization	Patent	Rank	Organization	Patent
179	Pentax Corp.	98	226	Goodyear Tire & Rubber Co.	77
180	Verizon	98	227	International Rectifier Corp.	77
181	Shin Etsu Chemical Co., Ltd.	97	228	Network Appliance, Inc.	77
182	Weatherford/Lamb, Inc.	97	229	Dow Chemical Co.	76
183	Amgen, Inc.	96	230	Fu Zhun Precision Industrial Co., Ltd.	76
184	Rolls-Royce PLC	96	231	Realtek Semiconductor Corp.	76
185	International Game Technology (IGT)	95	232	BAE Systems Information And Electronic Systems Integration, Inc.	75
186	Council of Scientific and Industrial Research	94	233	Minebea Co., Ltd.	75
187	Advantest Corp.	93	234	HRL Laboratories, LLC	74
188	Zahnradfabrik Friedrichshafen AG	93	235	Kao Corp.	74
189	Acushnet Co.	92	236	Unilever	74
190	Delta Electronics Inc.	92	237	Degussa AG	73
191	Lenovo PTE Ltd.	92	238	Shimano Inc.	73
192	Ciba Specialty Chemicals Corp.	91	239	Synopsys Inc.	73
193	Commissariat a l'Energie Atomique	91	240	Applera Corp.	72
194	Fanuc Ltd.	91	241	Mitsubishi Heavy Industries, Ltd.	72
195	NSK Ltd.	91	242	Advanced Semiconductor Engineering, Inc.	71
196	Rockwell Automation Technologies, Inc.	91	243	Pitney Bowes, Inc.	71
197	Wisconsin Alumni Research Foundation	91	244	Casio Computer Co., Ltd.	70
198	Yamaha Motor Co., Ltd.	91	245	Kyocera Corp.	70
199	Dai Nippon Printing Co., Ltd.	90	246	United States of America, National Aeronautics and Space Administration	70
200	Institut Francais du Petrole	90	247	Eli Lilly and Co.	69
201	Silicon Laboratories Inc.	90	248	Hitachi Displays, Ltd.	69
202	University of Texas	90	249	Michelin Recherche et Technique S.A.	69
203	ADC Telecommunications, Inc.	89	250	Advanced Cardiovascular Systems, Inc.	68
204	LAM Research Corp.	89	251	Avaya Technology Corp.	68
205	Air Liquide Corp.	87	252	Schering Corp.	68
206	Japan Science and Technology Agency	87	253	Takata Corp.	68
207	Koito Manufacturing Co., Ltd.	87	254	Alstom Technology Ltd.	67
208	Aisin Seiki K.K.	86	255	Sumitomo Rubber Industries, Ltd.	67
209	Rambus, Inc.	86	256	Novellus Systems, Inc.	66
210	Unisys Corp.	86	257	Uni-Charm Corp.	66
211	Inventec Corp.	85	258	Air Products and Chemicals, Inc.	65
212	Stanford University	85	259	Sandia Corp.	65
213	Elpida Memory, Inc.	84	260	Thales	65
214	Hitachi High-Technologies Corp.	83	261	Nippon Telegraph & Telephone Corp.	64
215	ITT Manufacturing Enterprises, Inc.	83	262	Palo Alto Research Center Inc.	63
216	AOL LLC	82	263	Cirrus Logic, Inc.	62
217	Atmel Corp.	82	264	JDS Uniphase Corp.	62
218	Fujinon Corp.	82	265	ARM Ltd.	60
219	Henkel Corp.	82	266	Digimarc Corp.	60
220	Juniper Networks, Inc.	82	267	Japan Aviation Electronics Industry Ltd.	60
221	Victor Company of Japan, Ltd.	82	268	Bosch Siemens Hausgerate GmbH	59
222	Visteon Global Technologies, Inc.	80	269	Cadence Design Systems, Inc.	59
223	Ajinomoto Co. Inc.	79	270	Sci-Med Life Systems, Inc.	59
224	Ebara Corp.	79			
225	Seiko Instruments Inc.	79			

2007 Patent Owners Numerical Listing

Rank	Organization	Patent
271	Bridgestone Sports Co., Ltd.	58
272	Headway Technologies, Inc.	58
273	Callaway Golf Co.	57
274	Ciena Corp.	57
275	Komatsu Ltd.	57
276	Shinko Electric Industries Co., Ltd.	57
277	University of Florida Research Foundation, Inc.	57
278	Adobe Systems, Inc.	56
279	BEA Systems, Inc.	56
280	British Telecommunication, PLC	56
281	Daikin Industries Ltd.	56
282	SRI Sports Ltd.	56
283	Western Digital Technologies, Inc.	56
284	Whirlpool Corp.	56
285	Allergan, Inc.	55
286	Columbia University	55
287	Eastman Chemical Co.	55
288	FCI Americas Technology, Inc.	55
289	Abbott Laboratories	54
290	Cornell Research Foundation Inc.	54
291	K.K. Toyota Jidoshokki	54
292	Koyo Seiko Co., Ltd.	54
293	Stine Seed Farm, Inc.	54
294	DirecTV Group, Inc.	53
295	Hoya Corp.	53
296	Kaneka Corp.	53
297	National Institute of Advanced Industrial Science and Technology	53
298	Okidata Corp.	53
299	Rockwell Collins, Inc.	53
300	Shimadzu Corp.	53
301	Veritas Operating Corp.	53

2007 Patent Owners

Alphabetical Listing

Rank	Organization	Patent	Rank	Organization	Patent
42	3M Innovative Properties Co.	473	271	Bridgestone Sports Co., Ltd.	58
289	Abbott Laboratories	54	120	Bristol-Myers Squibb Co.	164
189	Acushnet Co.	92	280	British Telecommunication, PLC	56
203	ADC Telecommunications, Inc.	89	36	Broadcom Corp.	533
278	Adobe Systems, Inc.	56	59	Brother Kogyo K.K.	325
250	Advanced Cardiovascular Systems, Inc.	68	269	Cadence Design Systems, Inc.	59
68	Advanced Micro Devices, Inc.	304	157	California Institute Of Technology	116
242	Advanced Semiconductor Engineering, Inc.	71	273	Callaway Golf Co.	57
187	Advantest Corp.	93	3	Canon K.K.	2,047
101	Agere Systems Inc.	193	149	Carl Zeiss SMT AG	126
73	Agilent Technologies, Inc.	282	244	Casio Computer Co., Ltd.	70
205	Air Liquide Corp.	87	102	Caterpillar Inc.	193
258	Air Products and Chemicals, Inc.	65	100	Chrysler LLC	203
208	Aisin Seiki K.K.	86	192	Ciba Specialty Chemicals Corp.	91
233	Ajinomoto Co. Inc.	79	274	Ciena Corp.	57
124	Alcatel	154	263	Cirrus Logic, Inc.	62
285	Allergan, Inc.	55	32	Cisco Technology, Inc.	580
114	Alps Electric Co., Ltd.	168	166	CNH America LLC	106
254	Alstom Technology Ltd.	67	286	Columbia University	55
78	Altera Corp.	268	193	Commissariat a l'Energie Atomique	91
183	Amgen, Inc.	96	290	Cornell Research Foundation Inc.	54
135	Analog Devices, Inc.	142	105	Corning Inc.	187
216	AOL LLC	82	186	Council of Scientific and Industrial Research	94
156	Apple, Inc.	118	160	Cypress Semiconductor Corp.	115
240	Applera Corp.	72	199	Dai Nippon Printing Co., Ltd.	90
66	Applied Materials, Inc.	310	281	Daikin Industries Ltd.	56
265	ARM Ltd.	60	121	Deere & Co.	164
80	ASML Holding N.V.	266	237	Degussa AG	73
174	AstraZeneca AB	100	145	Dell Products, L.P.	133
20	AT&T	726	54	Delphi Technologies, Inc.	356
217	Atmel Corp.	82	190	Delta Electronics Inc.	92
108	AU Optronics Corp.	181	17	Denso Corp.	753
70	Avago Technologies (Singapore) Pte. Ltd.	291	266	Digimarc Corp.	60
251	Avaya Technology Corp.	68	294	DirecTV Group, Inc.	53
232	BAE Systems Information And Electronic Systems Integration, Inc.	75	104	Dongbu Electronics Co., Ltd.	189
113	Baker Hughes Inc.	172	229	Dow Chemical Co.	76
63	BASF Group	315	30	DuPont	601
279	BEA Systems, Inc.	56	118	EADS	166
138	Ben Q Corp.	138	287	Eastman Chemical Co.	55
106	Black & Decker Inc.	184	38	Eastman Kodak Co.	514
47	Boeing Co.	428	154	Eaton Corp.	121
268	Bosch Siemens Hausgerate GmbH	59	224	Ebara Corp.	79
51	Boston Scientific Corp.	403	98	Electronics and Telecommunications Research Institute	206

2007 Patent Owners Alphabetical Listing

Rank	Organization	Patent	Rank	Organization	Patent
247	Eli Lilly and Co.	69	227	International Rectifier Corp.	77
213	Elpida Memory, Inc.	84	211	Inventec Corp.	85
111	EMC Corp.	173	215	ITT Manufacturing Enterprises, Inc.	83
88	ExxonMobil Corp.	239	267	Japan Aviation Electronics Industry Ltd.	60
194	Fanuc Ltd.	91	206	Japan Science and Technology Agency	87
288	FCI Americas Technology, Inc.	55	264	JDS Uniphase Corp.	62
136	Finisar Corp.	142	34	Johnson & Johnson	550
55	Ford Global Technologies, LLC	352	220	Juniper Networks, Inc.	82
60	Freescale Semiconductor, Inc.	322	291	K.K. Toyota Jidoshokki	54
230	Fu Zhun Precision Industrial Co., Ltd.	76	296	Kaneka Corp.	53
69	Fuji Photo Film Co., Ltd	294	235	Kao Corp.	74
65	Fuji Xerox Co., Ltd.	313	112	Kimberly-Clark Worldwide, Inc.	173
24	Fujifilm Corp.	660	178	KLA-Tencor Technologies Corp.	98
218	Fujinon Corp.	82	207	Koito Manufacturing Co., Ltd.	87
14	Fujitsu Ltd.	1,293	275	Komatsu Ltd.	57
153	Funai Electric Co., Ltd.	123	52	Konica Corp.	386
74	Genentech, Inc.	281	26	Koninklijke Philips Electronics N.V.	654
13	General Electric Co.	1,369	292	Koyo Seiko Co., Ltd.	54
35	General Motors Corp.	539	45	Kyocera Corp.	70
99	GlaxoSmithKline	205	204	LAM Research Corp.	89
226	Goodyear Tire & Rubber Co.	77	148	Lear Corp.	127
71	Halliburton Energy Services, Inc.	284	191	Lenovo PTE Ltd.	92
272	Headway Technologies, Inc.	58	117	Lexmark International, Inc.	167
219	Henkel Corp.	82	21	LG Electronics Inc.	682
9	Hewlett-Packard Co.	1,470	48	LG Philips LCD Co., Ltd.	418
248	Hitachi Displays, Ltd.	69	87	Lockheed Martin Corp.	244
61	Hitachi Global Storage Technologies Netherlands B.V.	322	122	L'Oreal S.A.	163
214	Hitachi High-Technologies Corp.	83	86	LSI Logic Corp.	247
12	Hitachi, Ltd	1,381	46	Lucent Technologies Inc.	432
126	Hoffmann-La Roche Inc.	99	146	Macronix International Co., Ltd.	129
63	Hon Hai Precision Ind. Co., Ltd.	321	123	Marvell International Ltd.	160
23	Honda Motor Co., Ltd.	677	137	Massachusetts Institute Of Technology	141
29	Honeywell International Inc.	605	4	Matsushita Electric Industrial Co., Ltd.	1,972
169	Hong Fu Jin Precision Industry (Shenzhen) Co., Ltd.	105	150	Mediatek Inc.	126
295	Hoya Corp.	53	85	Medtronic Inc.	250
234	HRL Laboratories, LLC	74	130	Merck & Co., Inc.	146
50	Hynix Semiconductor Inc.	405	171	Merck Patent Gesellschaft Mit Beschränkter Haftung	104
163	Hyundai Motor Co.	109	249	Michelin Recherche et Technique S.A.	69
82	Illinois Tool Works Inc.	259	8	Micron Technology, Inc.	1,484
91	Industrial Technology Research Institute, Taiwan	229	7	Microsoft Corp.	1,662
16	Infineon Technologies AG	847	233	Minebea Co., Ltd.	75
200	Institut Francais du Petrole	90	161	Minolta Camera Co., Ltd.	113
5	Intel Corp.	1,864	44	Mitsubishi Denki K.K.	459
141	Interdigital Technology Corp.	137	241	Mitsubishi Heavy Industries, Ltd.	72
1	International Business Machines Corp.	3,125	139	Monsanto Co.	138
185	International Game Technology (IGT)	95	28	Motorola, Inc.	631

2007 Patent Owners

Alphabetical Listing

Rank	Organization	Patent	Rank	Organization	Patent
167	Murata Manufacturing Co., Ltd.	106	2	Samsung Electronics Co., Ltd.	2,723
297	National Institute of Advanced Industrial Science and Technology	53	64	Samsung SDI Co., Ltd.	315
93	National Semiconductor Corp.	227	259	Sandia Corp.	65
172	NCR Corp.	103	142	SanDisk Corp.	135
31	NEC Corp.	600	125	Sanofi-Aventis	153
81	NEC Electronics Corp.	261	45	Sanyo Electric Co., Ltd.	454
228	Network Appliance, Inc.	77	126	SAP AG	150
158	NGK Insulators Ltd.	116	252	Schering Corp.	68
143	Nikon Corp.	134	97	Schlumberger Technology Corp.	217
261	Nippon Telegraph & Telephone Corp.	64	270	Sci-Med Life Systems, Inc.	59
67	Nissan Motor Co., Ltd.	305	89	Seagate Technology, LLC	237
175	Nitto Denko Corp.	100	15	Seiko Epson Corp.	1,205
22	Nokia Corp.	679	225	Seiko Instruments Inc.	79
77	Nortel Networks Ltd.	272	49	Semiconductor Energy Laboratory Co., Ltd.	413
144	Northrop Grumman Corp.	134	27	Sharp Corp.	646
256	Novellus Systems, Inc.	66	116	Sharp Laboratories Of America, Inc.	168
195	NSK Ltd.	91	140	Shell Oil Co.	138
132	NTT Docomo, Inc.	145	300	Shimadzu Corp.	53
165	Nvidia Corp.	108	238	Shimano Inc.	73
109	NXP B.V.	181	181	Shin Etsu Chemical Co., Ltd.	97
75	OKI Electric Industry Co., Ltd.	279	276	Shinko Electric Industries Co., Ltd.	57
298	Okidata Corp.	53	11	Siemens AG	1,432
83	Olympus Corp.	256	201	Silicon Laboratories Inc.	90
170	Omron Corp.	105	37	Silverbrook Research Pty., Ltd.	533
115	Oracle International Corp.	168	10	Sony Corp.	1,454
159	Pacesetter, Inc.	116	162	Spansion LLC	113
262	Palo Alto Research Center Inc.	63	103	Sprint Communications Co., L.P.	193
179	Pentax Corp.	98	282	SRI Sports Ltd.	56
92	Pfizer Inc.	228	212	Stanford University	85
95	Pioneer Corp.	223	293	Stine Seed Farm, Inc.	54
243	Pitney Bowes, Inc.	71	41	STMicroelectronics, Inc.	486
76	Procter & Gamble Co.	278	164	Sumitomo Chemical Co., Ltd.	109
72	Qualcomm, Inc.	284	129	Sumitomo Electric Industries Co., Ltd.	149
209	Rambus, Inc.	86	255	Sumitomo Rubber Industries, Ltd.	67
151	Raytheon Co.	126	173	Sumitomo Wiring Systems, Ltd.	103
231	Realtek Semiconductor Corp.	76	25	Sun Microsystems, Inc.	658
40	Renesas Technology Corp.	505	239	Synopsys Inc.	73
127	Research In Motion Ltd.	149	43	Taiwan Semiconductor Manufacturing Co., Ltd.	467
19	Ricoh Co., Ltd.	727	253	Takata Corp.	68
33	Robert Bosch GmbH	568	57	TDK Corp.	338
196	Rockwell Automation Technologies, Inc.	91	84	Telefonaktiebolaget LM Ericsson	253
299	Rockwell Collins, Inc.	53	18	Texas Instruments, Inc.	749
147	Rohm and Haas Co.	129	260	Thales	65
134	Rohm Co. Ltd.	143	96	Thomson Licensing S.A.	221
184	Rolls-Royce PLC	96	94	Tokyo Electron Ltd.	225
128	Samsung Electro-Mechanics Co., Ltd.	149	6	Toshiba Corp.	1,734
			168	Toyoda Gosei K.K.	106

2007 Patent Owners Alphabetical Listing

Rank	Organization	Patent
56	Toyota Jidosha K.K.	351
119	Tyco Electronics Corp.	166
177	U.S. Department of Health & Human Services	99
257	Uni-Charm Corp.	66
236	Unilever	74
210	Unisys Corp.	86
152	United Microelectronics Corp.	125
133	United States Of America, Army	144
246	United States of America, National Aeronautics and Space Administration	70
79	United States of America, Navy	268
53	United Technologies Corp.	367
58	University of California, Regents of	333
277	University of Florida Research Foundation, Inc.	57
202	University of Texas	90
301	Veritas Operating Corp.	53
180	Verizon	98
107	VIA Technologies, Inc.	183
221	Victor Company of Japan, Ltd.	82
222	Visteon Global Technologies, Inc.	80
182	Weatherford/Lamb, Inc.	97
283	Western Digital Technologies, Inc.	56
284	Whirlpool Corp.	56
197	Wisconsin Alumni Research Foundation	91
155	Wyeth	119
39	Xerox Corp.	514
90	Xilinx, Inc.	233
110	Yamaha Corp.	174
198	Yamaha Motor Co., Ltd.	91
131	Yazaki Corp.	146
188	Zahnradfabrik Friedrichshafen AG	93

NOTES:

1. The number of patents granted does not necessarily indicate the value of a company's technology, the effectiveness of its R&D, or whether it will be profitable. The number of patents per company varies widely from industry to industry and from company to company within an industry.
2. This report was compiled by IPO from data provided by the U.S. Patent & Trademark Office. Patents reported are utility patents granted during calendar year 2007 that listed the organization or a subsidiary as the owner on the printed patent document. If an assignment of rights to an organization or its subsidiary was recorded after the patent document was printed, the patent was not counted. Patents in the name of a majority-owned subsidiary are included with patents of the parent organization if the organization asked IPO to include subsidiaries. Patents that were granted to two or more organizations jointly are attributed to the organization listed first on the patent document.
3. The number of patents granted by the USPTO declined to 153,283 in 2007 from 173,771 in 2006.
4. IPO has published this report annually since 1984 as a service to its members. For annual lists go to www.ipso.org/TopPatentOwners.
5. Next year IPO will list patents under the name of the parent organization that are granted to majority-owned subsidiaries if the organization provides the names of its majority-owned subsidiaries to IPO by March 1, 2009.
6. IPO makes reasonable efforts to avoid errors, but cannot assure complete accuracy.

Other Annual IP Statistics:

Patents, Trademarks, and Copyrights:

by Type, State, and Country

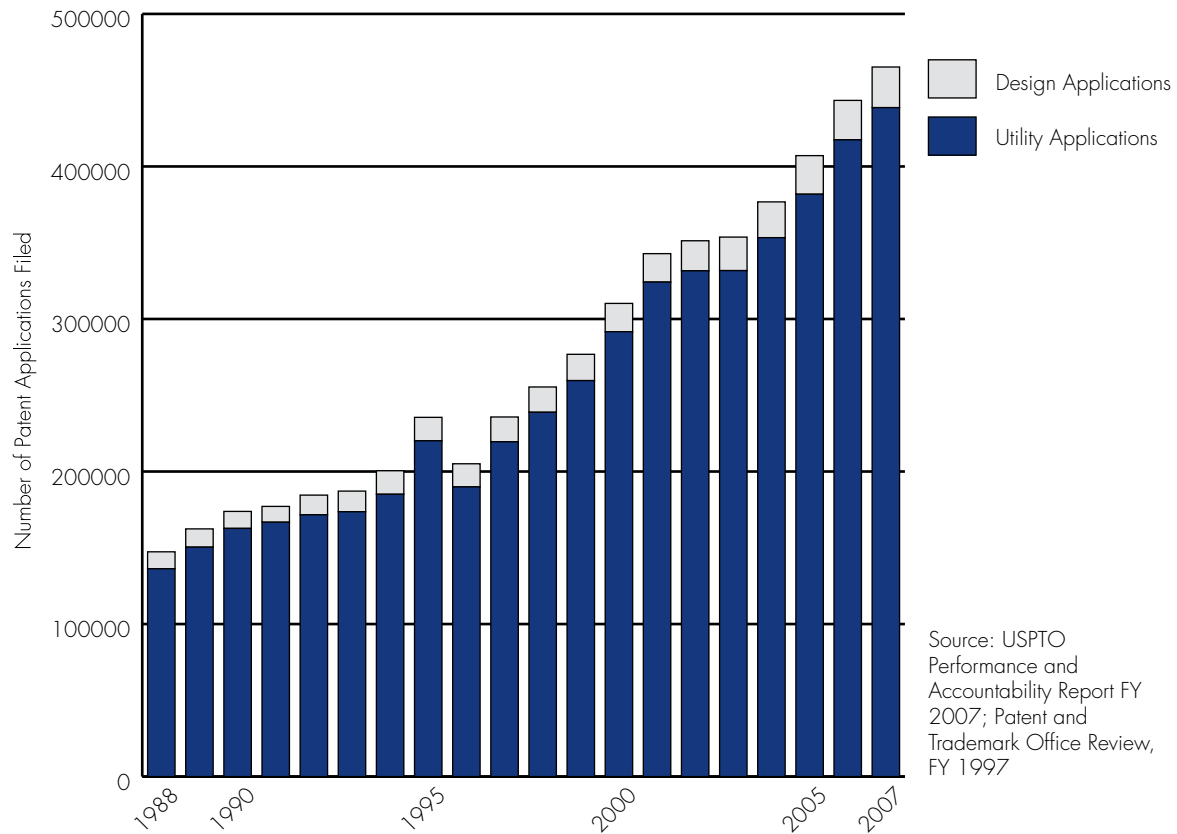
U.S. District Courts Suits:

by Type and by Court

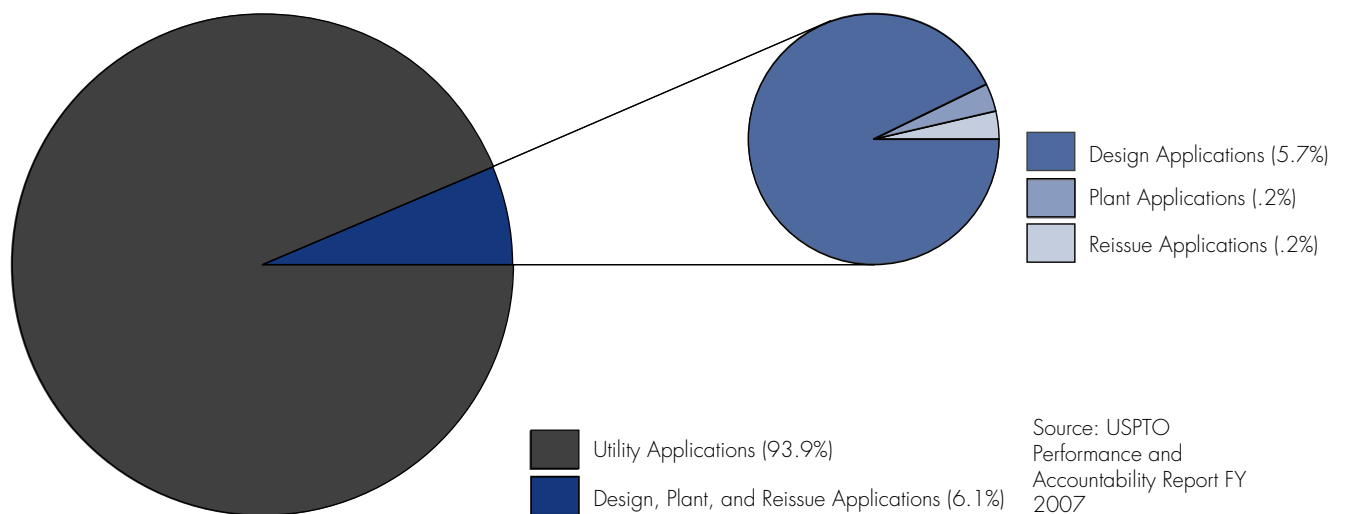
Cases in the U.S. Court of Appeals for the Federal Circuit:

by Category, with Time to Disposition, and Petitions for Certiorari to the Supreme Court

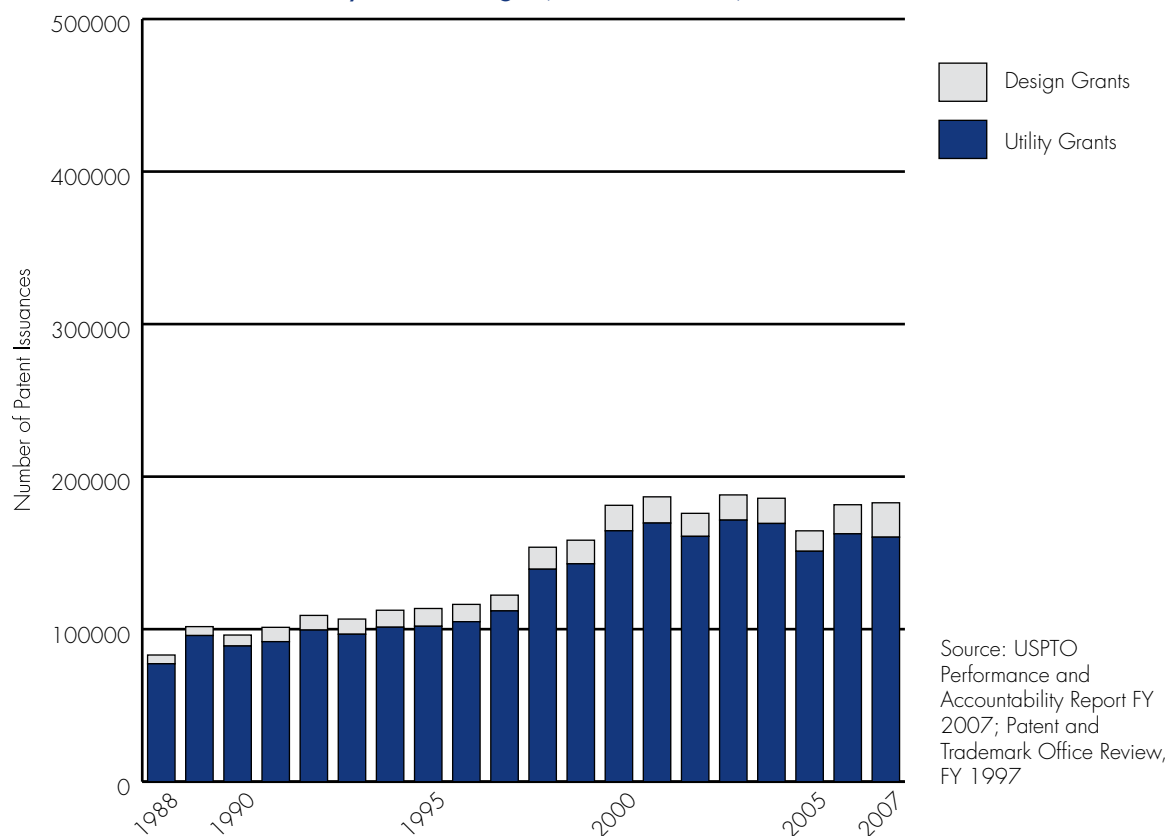
U.S. Patent Applications, Utility and Design (1988 - 2007)



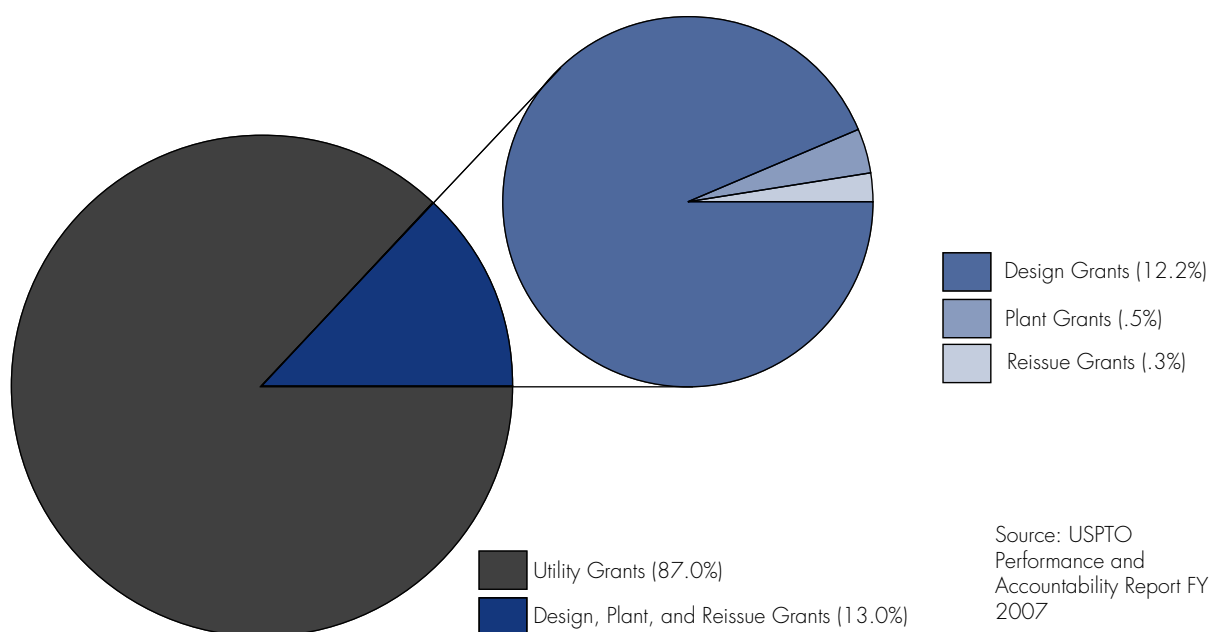
U.S. Patent Applications, by Type (2007)



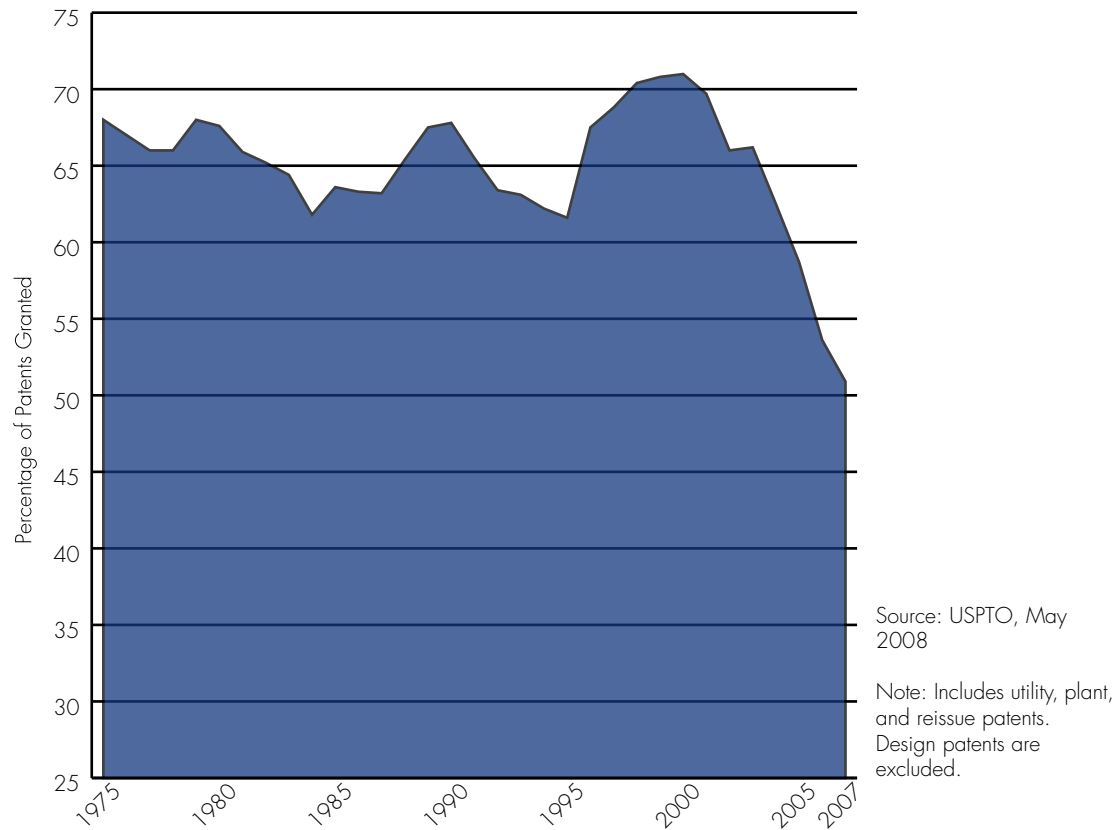
U.S. Patent Grants, Utility and Design (1988 - 2007)



U.S. Patent Grants, by Type (2007)

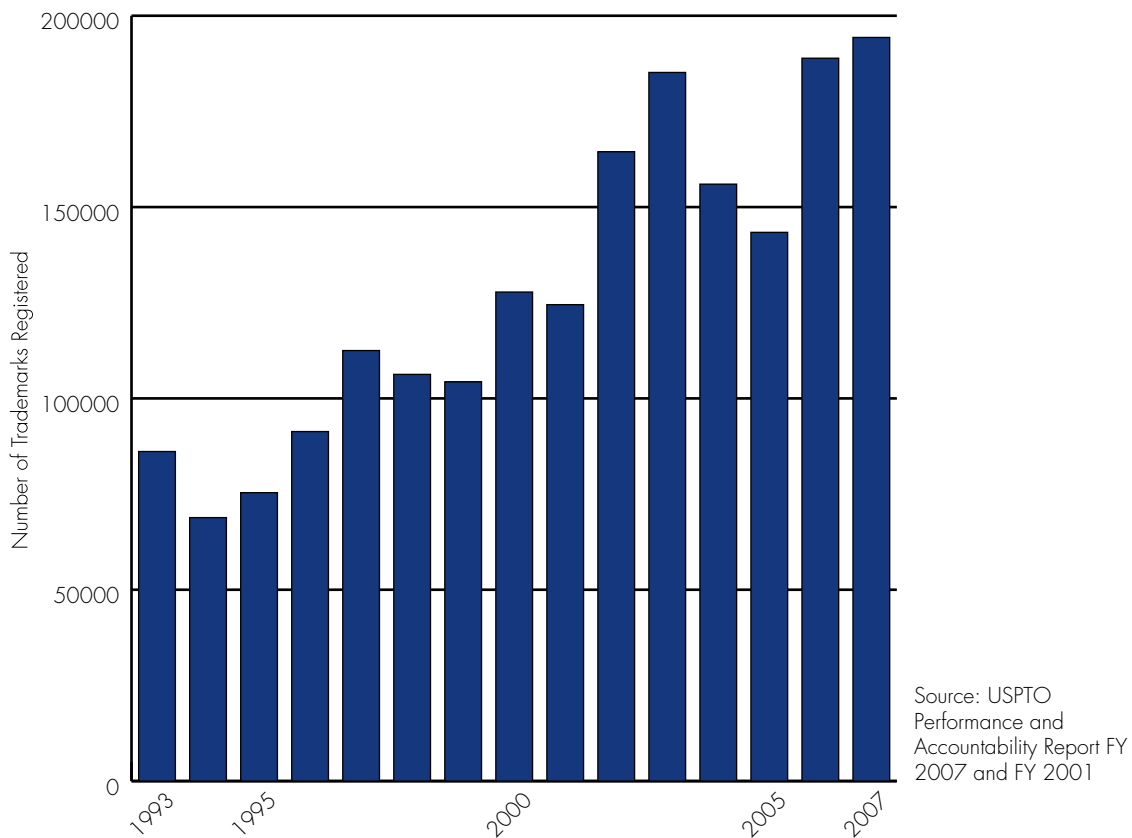


USPTO Patent Applications Allowance Rate* (FY 1975 - 2007)

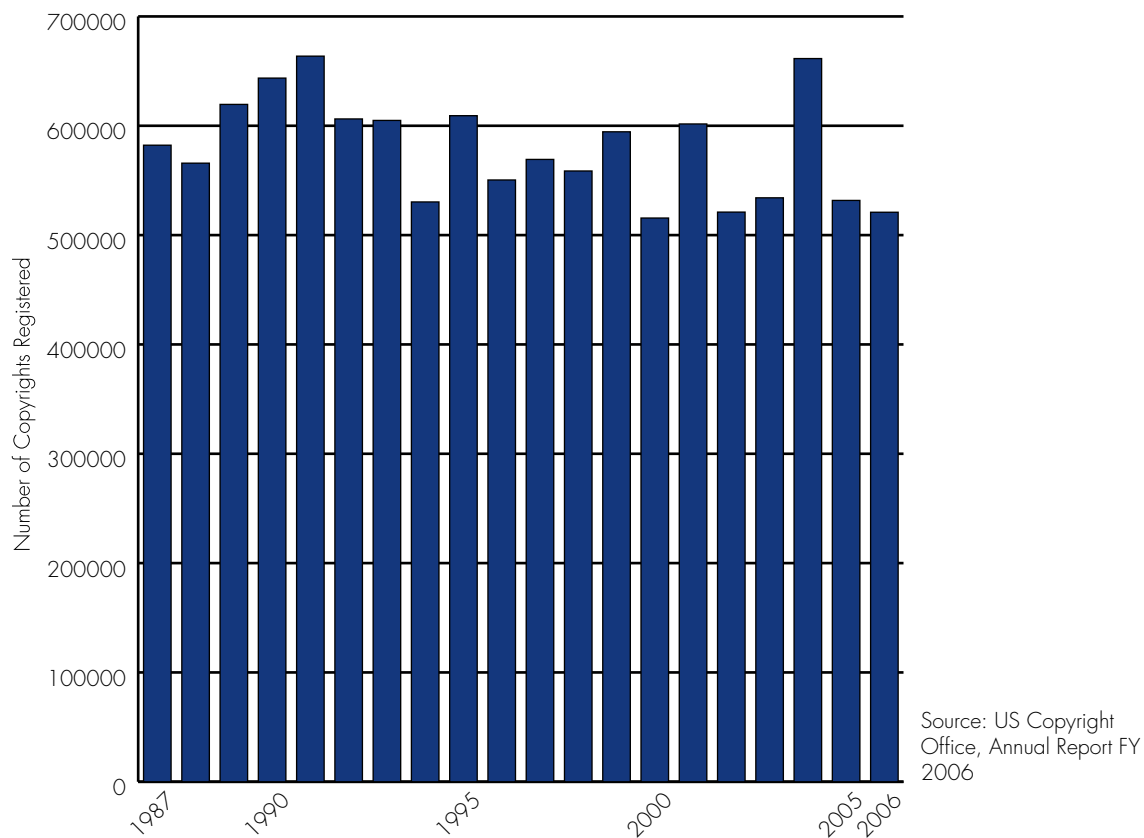


* The allowance rate is the percentage of patent applications on which a patent is granted.

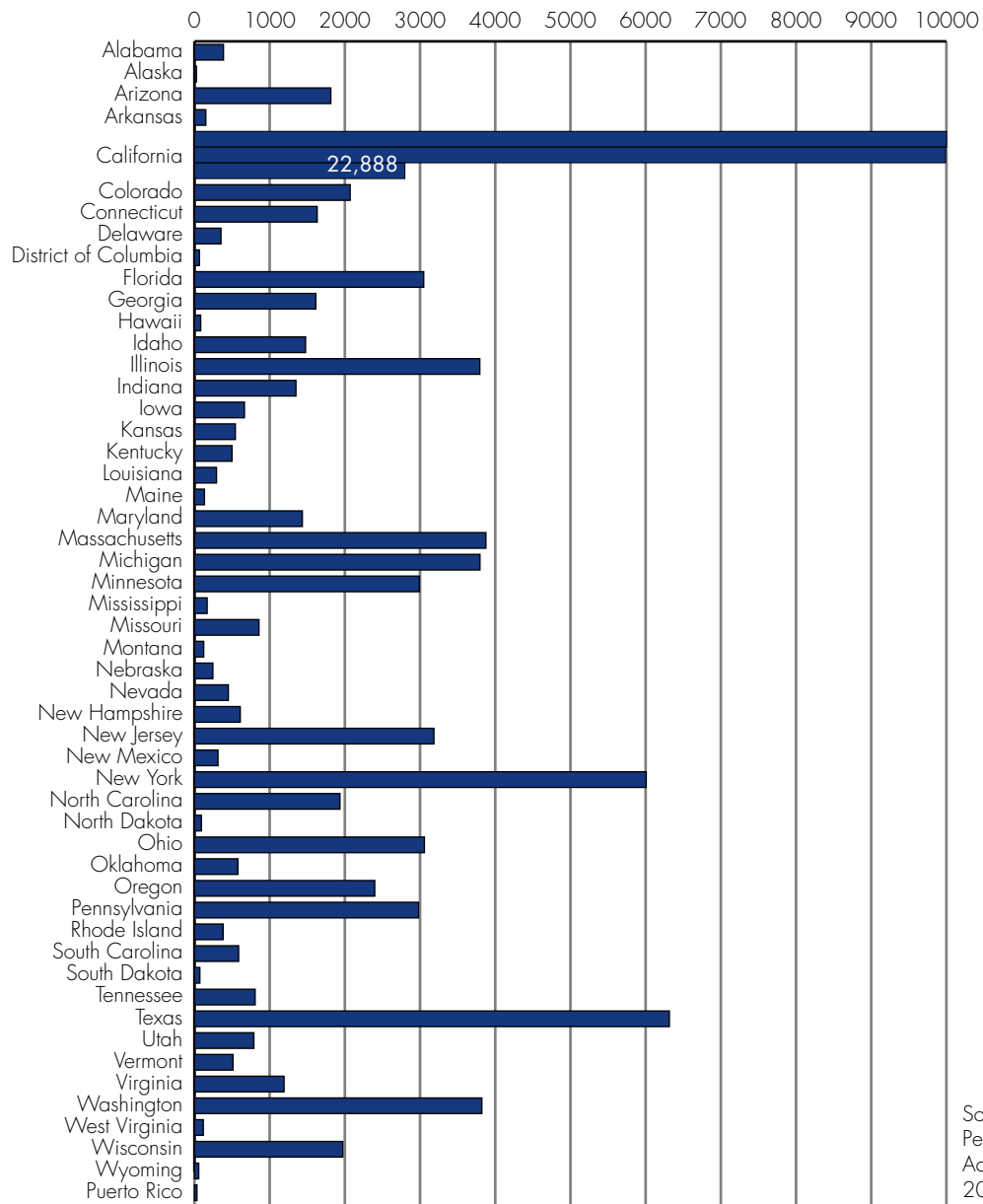
U.S. Trademark Registrations (1993 - 2007)



U.S. Copyright Registrations (1986 - 2006)



U.S. Patents Granted by State of Residence (2007)



Source: USPTO
Performance and
Accountability Report FY
2007

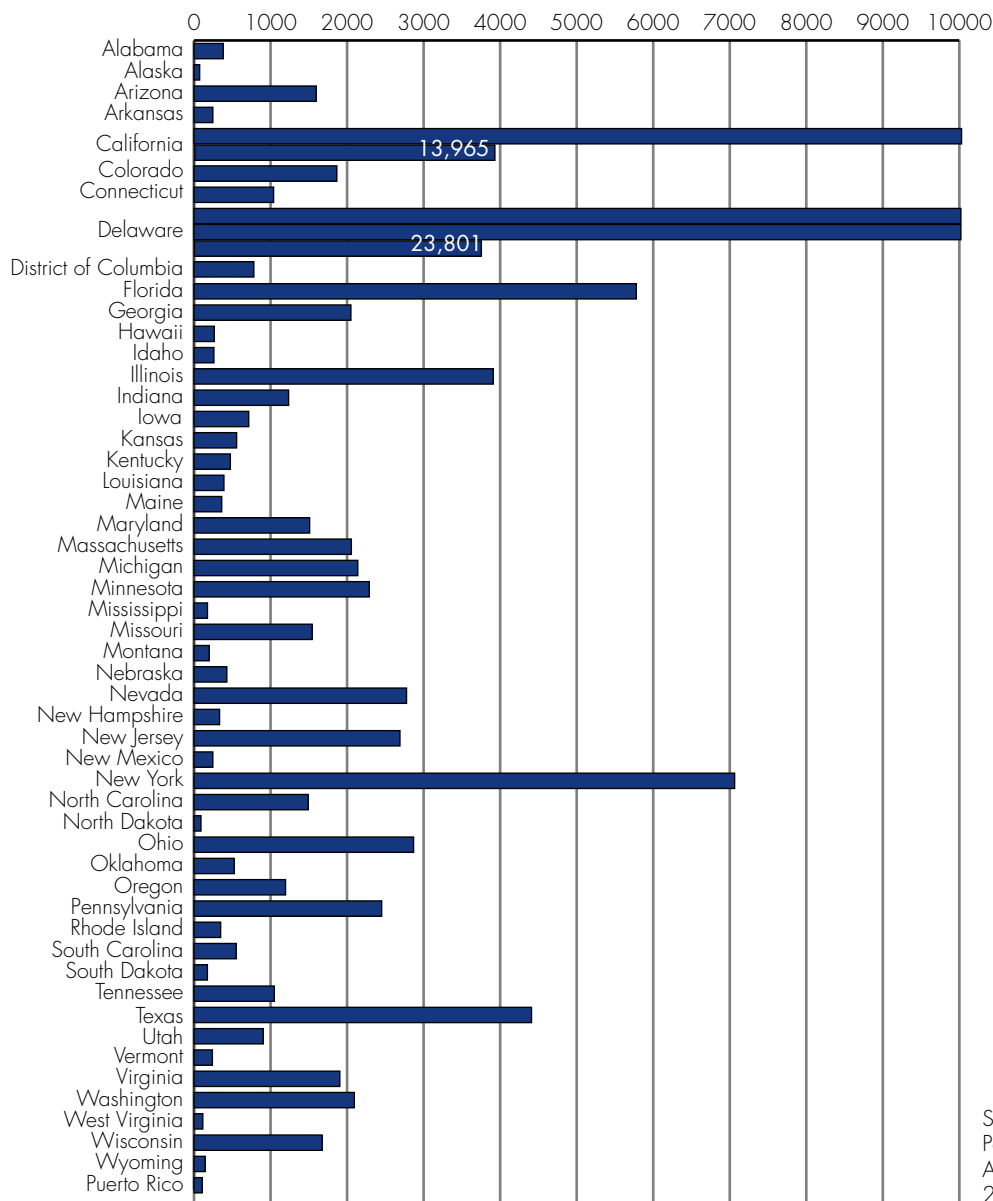
Top 25 States Ranked by Patents Granted per Capita

Rank	State	Patents per 100,000 Inhabitants
1	Idaho	98.6
2	Vermont	82.4
3	Oregon	64.0
4	California	62.6
5	Massachusetts	60.1
6	Washington	59.1
7	Minnesota	57.6
8	Connecticut	46.6
9	New Hampshire	46.3
10	Colorado	42.6
11	Delaware	40.8
12	Michigan	37.7
13	New Jersey	36.7

14	Rhode Island	36.0
15	Wisconsin	35.2
16	New York	31.1
17	Utah	29.9
18	Illinois	29.5
19	Arizona	28.6
20	Ohio	26.7
21	Texas	26.4
22	Maryland	25.5
23	Pennsylvania	24.0
24	Iowa	22.3
25	North Carolina	21.4

Calculated using patent counts for FY 2007 and U.S.
Census Bureau 2007 Population Estimates

U.S. Trademark Registrations by State of Residence (2007)



Source: USPTO
Performance and
Accountability Report FY
2007

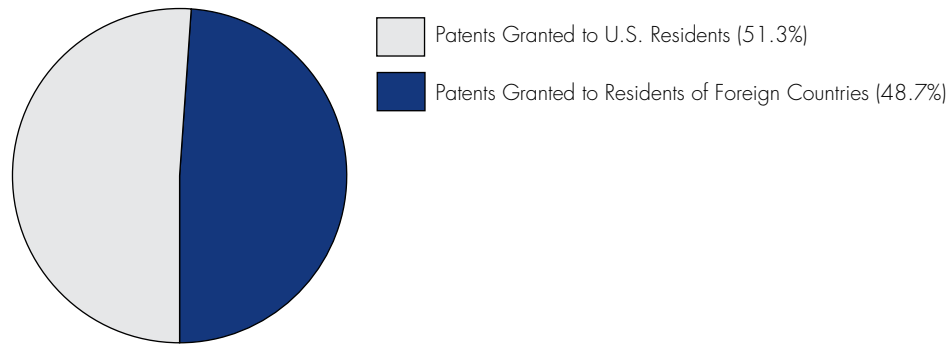
Top 25 States Ranked by Trademark Registrations per Capita

Rank	State	Trademarks per 10,000 Inhabitants
1	Delaware	275.2
2	District of Columbia	13.3
3	Nevada	10.8
4	Minnesota	4.4
5	Vermont	3.9
6	Colorado	3.8
7	California	3.8
8	New York	3.6
9	Utah	3.4
10	Rhode Island	3.3
11	Washington	3.2
12	Oregon	3.2
13	Massachusetts	3.2

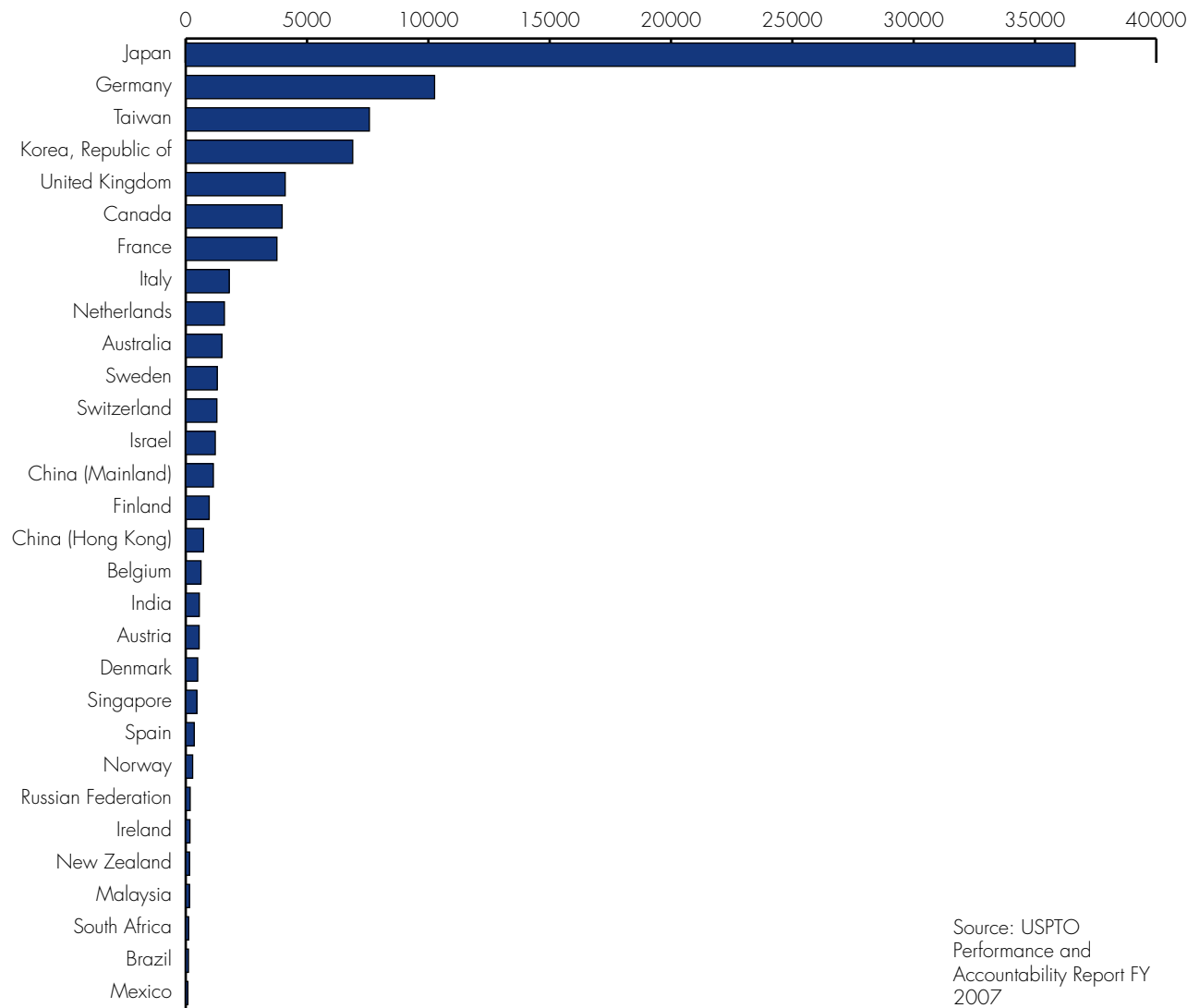
14	Florida	3.2
15	New Jersey	3.1
16	Illinois	3.0
17	Wisconsin	3.0
18	Connecticut	3.0
19	Wyoming	2.8
20	Maine	2.7
21	Maryland	2.7
22	Missouri	2.6
23	New Hampshire	2.5
24	Arizona	2.5
25	Ohio	2.5

Calculated using trademark counts for FY 2007 and
U.S. Census Bureau 2007 Population Estimates

U.S. Patents Granted by Country of Residence (2007)

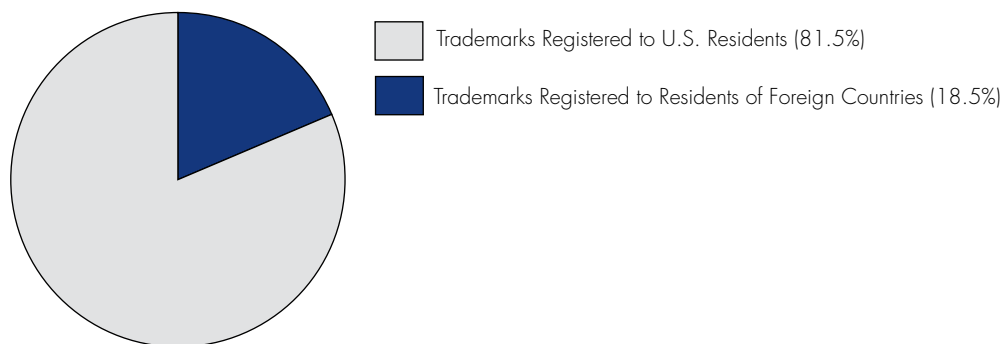


U.S. Patents Granted to Residents of Foreign Countries (2007)

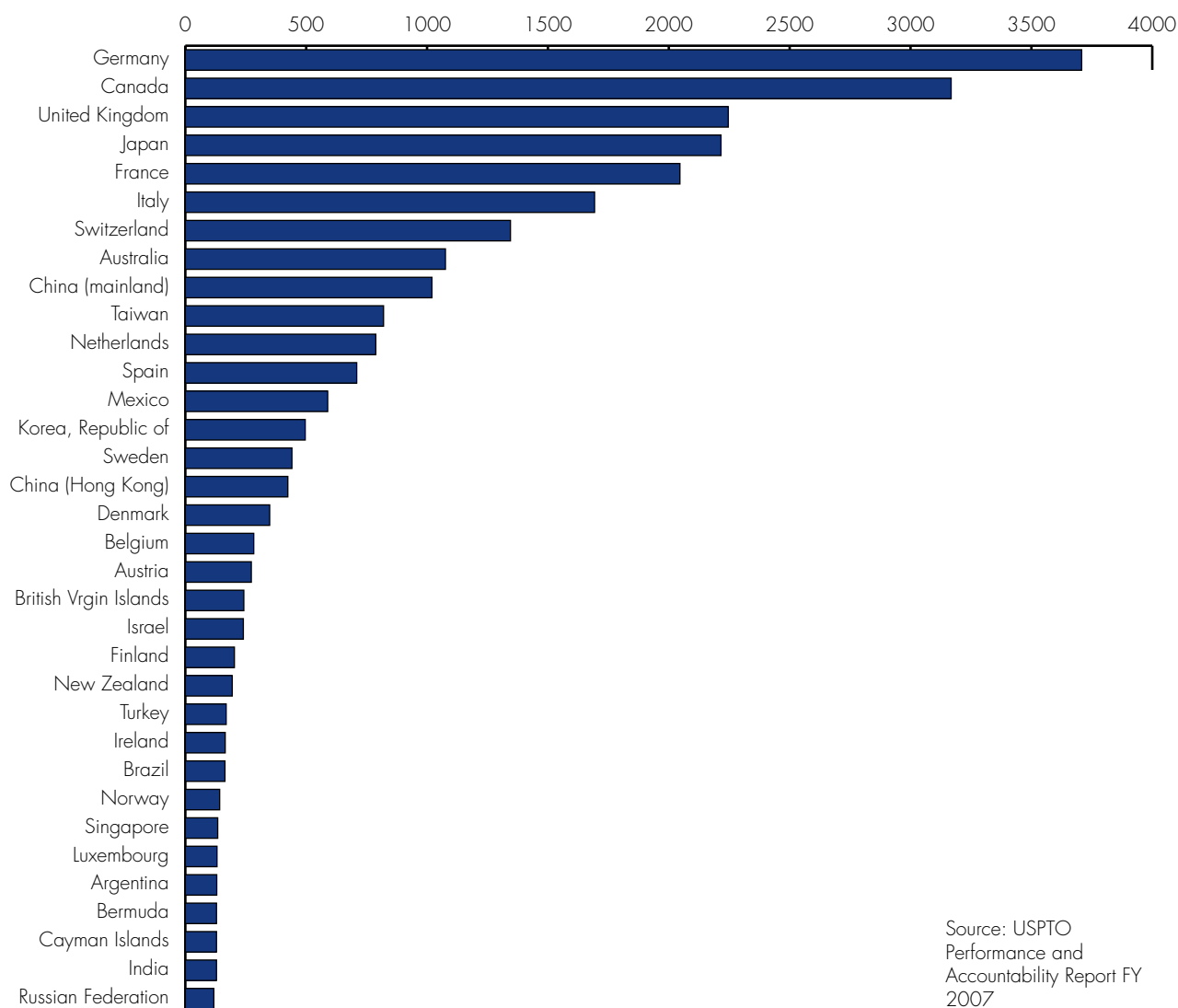


Source: USPTO
Performance and
Accountability Report FY
2007

U.S. Trademark Registrations by Country of Residence (2007)

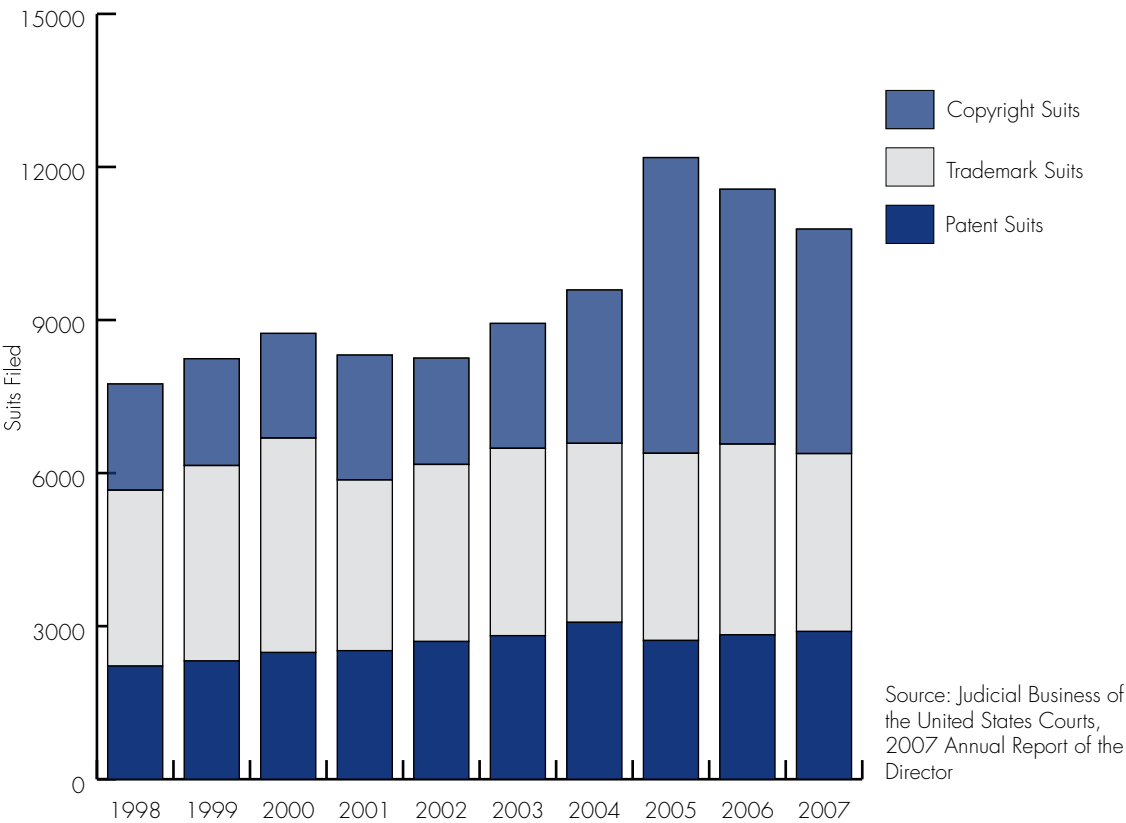


U.S. Trademark Registrations to Residents of Foreign Countries (2007)

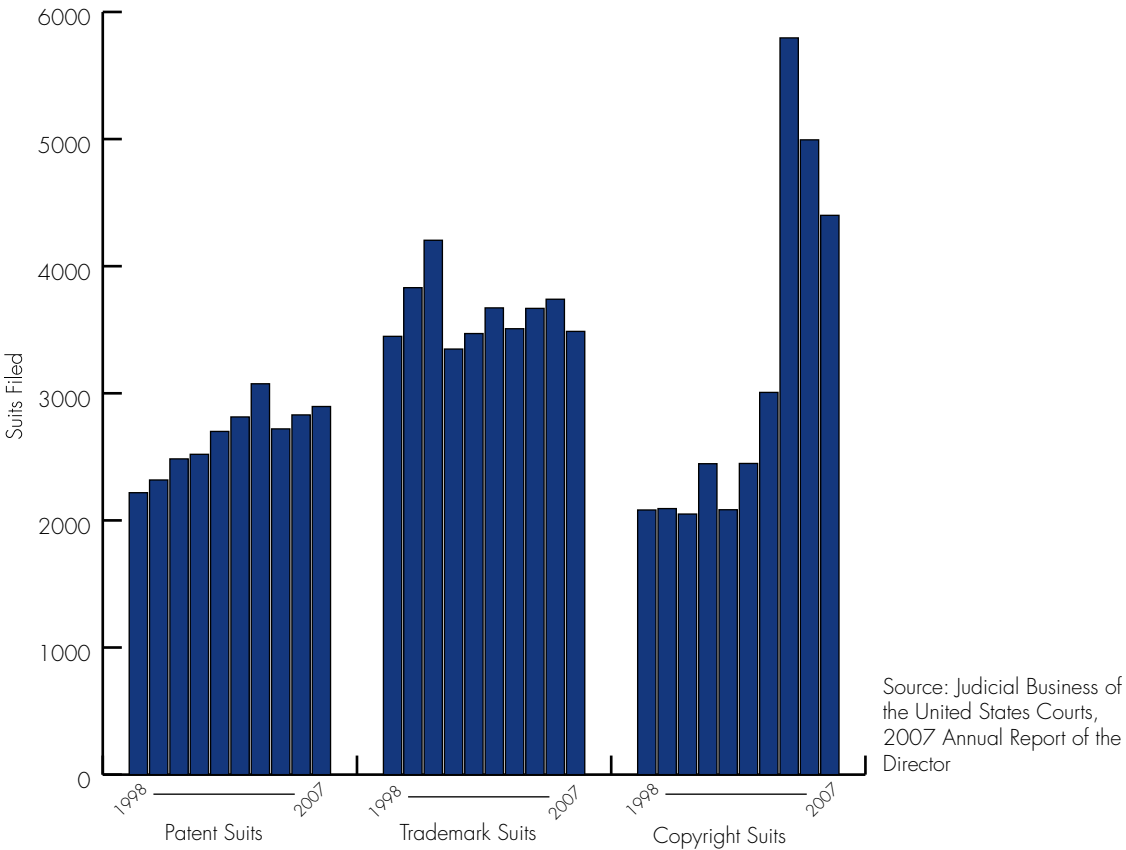


Source: USPTO
Performance and
Accountability Report FY
2007

Patent, Trademark, and Copyright Suits in U.S. District Courts, by Year (1998 - 2007)



Patent, Trademark, and Copyright Suits in U.S. District Courts, by Year (1998 - 2007)



U.S. District Courts with Most Patent Suits Filed in 2007

Rank	District	Suits Filed
1	Texas, Eastern	359
2	California, Central	334
3	New Jersey	186
4	California, Northern	159
5	Delaware	157
6	Illinois, Northern	128
7	New York, Southern	111
8	Florida, Southern	83
9	Georgia, Northern	71
10	Massachusetts	69
11	California, Southern	58
12	Pennsylvania, Eastern	57
13	Florida, Middle	56
14	Minnesota	56
15	Michigan, Eastern	52

U.S. District Courts with Most Trademark Suits Filed in 2007

Rank	District	Suits Filed
1	California, Central	545
2	New York, Southern	304
3	California, Northern	171
4	Florida, Southern	160
5	Illinois, Northern	143
6	New Jersey	138
7	Florida, Middle	131
8	Nevada	117
9	New York, Eastern	96
10	Arizona	85
11	Georgia, Northern	77
12	California, Southern	74
13	Michigan, Eastern	72
14	Virginia, Eastern	72
15	Texas, Northern	71

U.S. District Courts with Most Copyright Suits Filed in 2007

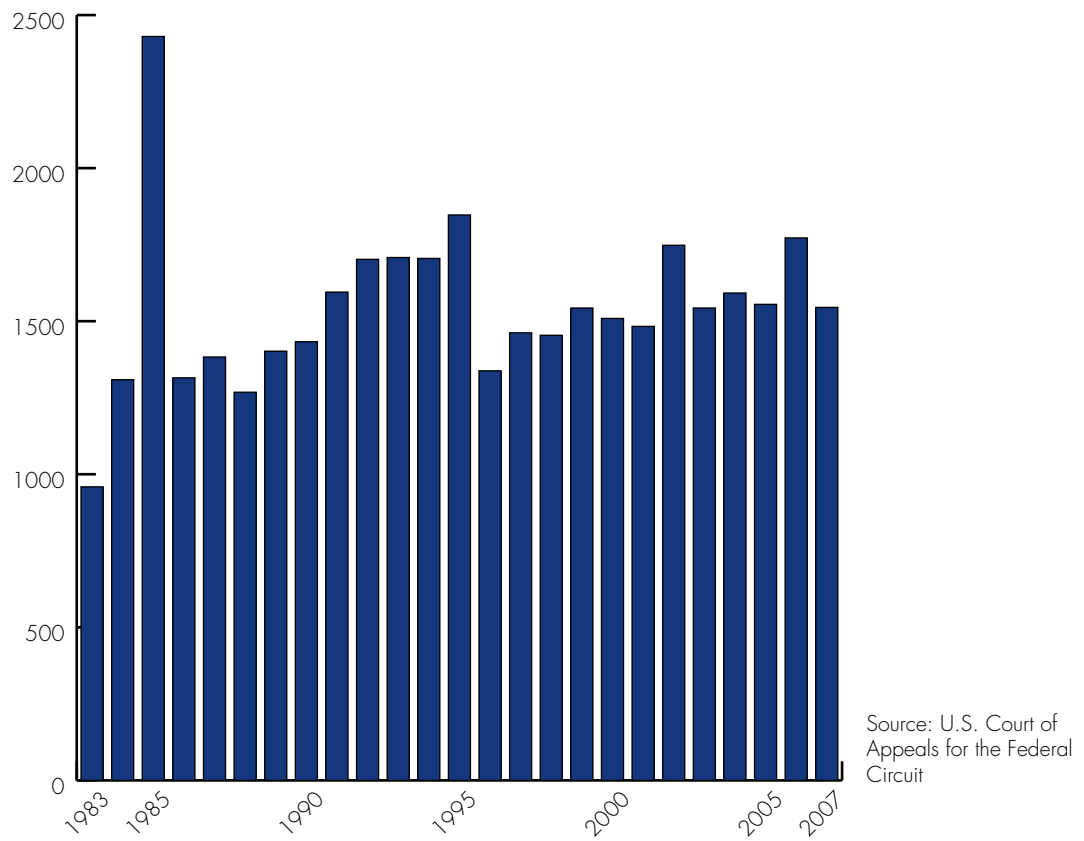
Rank	District	Suits Filed
1	California, Central	687
2	New York, Southern	353
3	Texas, Southern	214
4	California, Northern	203
5	Texas, Western	196
6	Illinois, Northern	157
7	Texas, Northern	121
8	Florida, Middle	119
9	New York, Eastern	119
10	Pennsylvania, Eastern	116
11	Florida, Southern	114
12	Georgia, Northern	96
13	New Jersey	96
14	Massachusetts	91

U.S. District Courts with Most IP Suits Filed in 2007

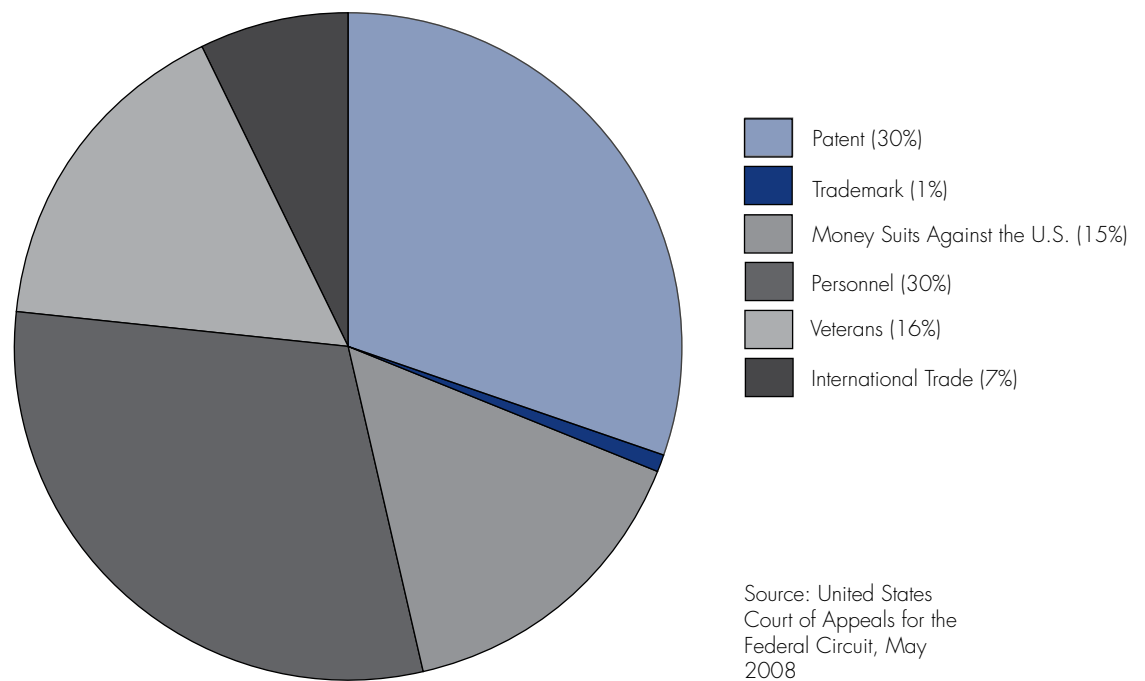
Rank	District	Suits Filed
1	California, Central	1566
2	New York, Southern	768
3	California, Northern	533
4	Illinois, Northern	428
5	New Jersey	420
6	Texas, Eastern	409
7	Florida, Southern	357
8	Florida, Middle	306
9	Texas, Southern	295
10	Texas, Western	269
11	New York, Eastern	248
12	Georgia, Northern	244
13	Texas, Northern	232
14	Pennsylvania, Eastern	229
15	Massachusetts	220

Source: Judicial Business of the United States Courts, 2007 Annual Report of the Director

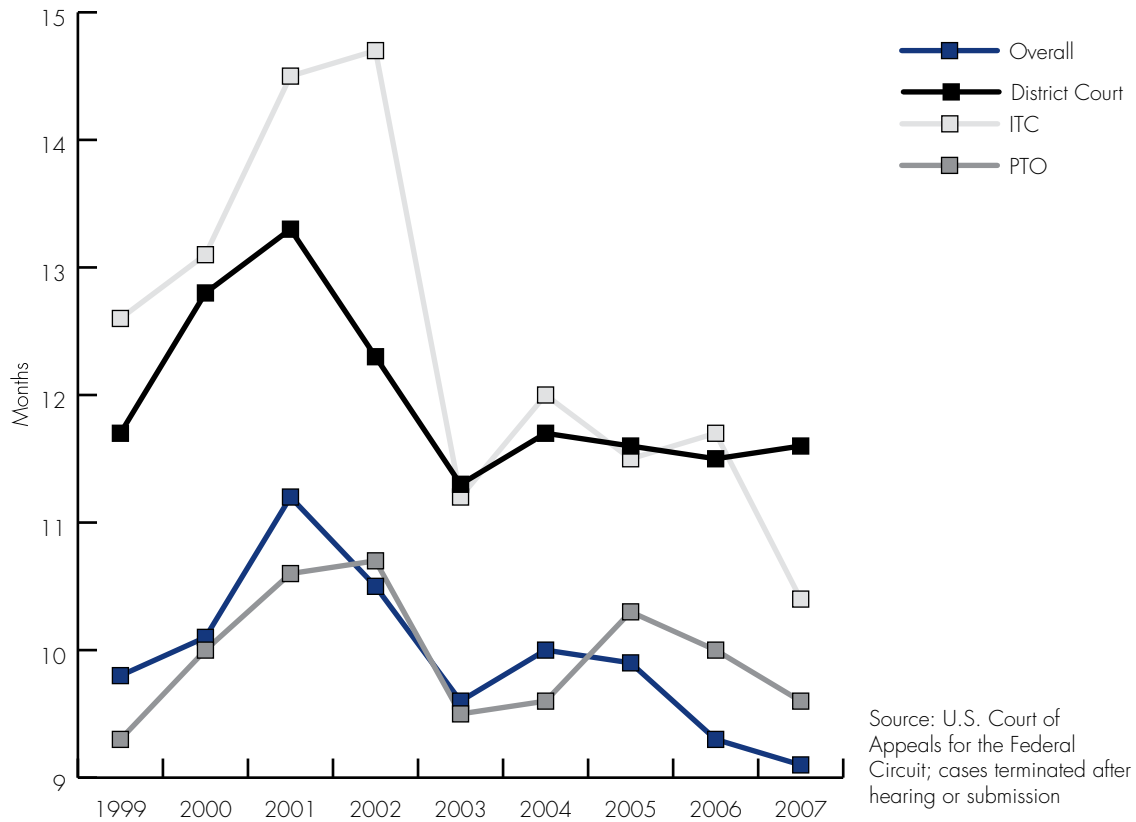
Federal Circuit Court of Appeals Overall Caseload, by Year (1983 - 2007)



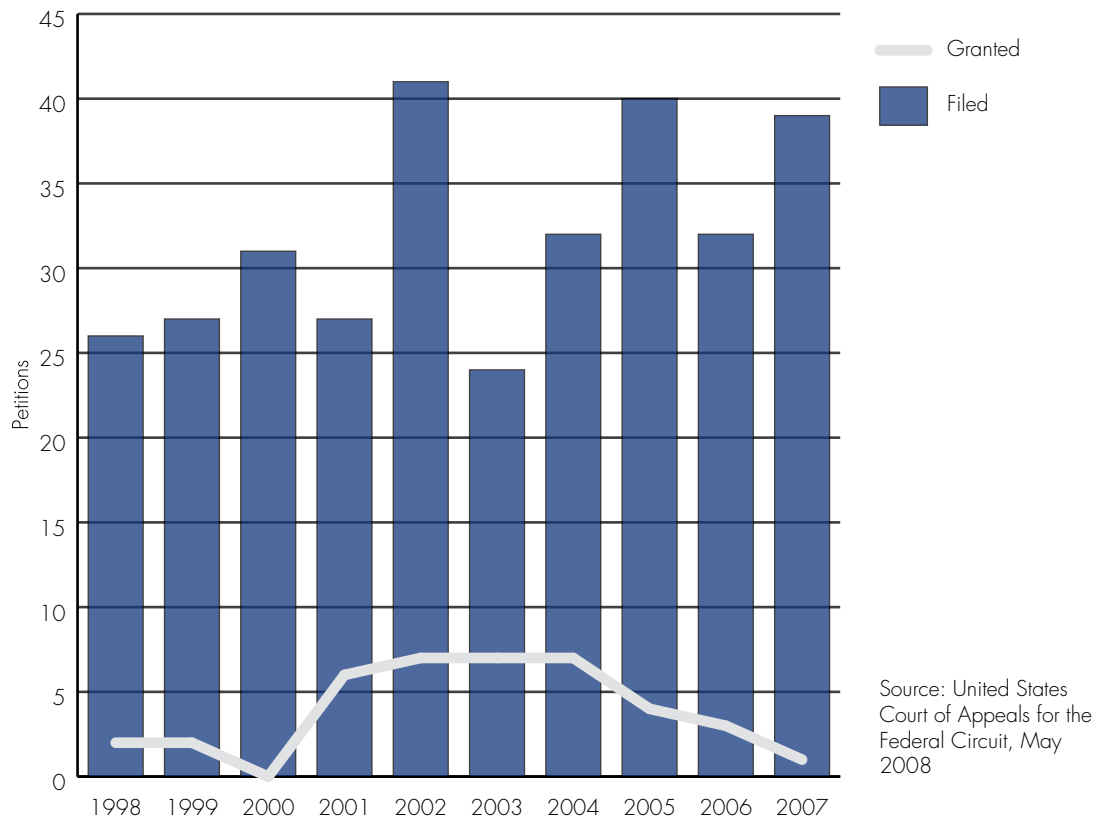
Federal Circuit: Appeals Filed, by Category (April 2007 - March 2008)



Federal Circuit, Median Time to Disposition of Appeals



Certiorari Petitions to U.S. Supreme Court from Federal Circuit (FY 1998 - FY 2007)



IPO's 4-Star Federal Circuit Summaries™ 2007 - 2008*

IPO publishes one-paragraph summaries of every precedential patent and trademark opinion issued by the Court of Appeals for the Federal Circuit. The summaries are distributed via the IPO Daily News™ and archived on the IPO website. Each decision is ranked on importance with, 4 stars being the highest ranking.

Shipments of Products "F.o.b" From Canada Into the U.S. Were Infringing Sales in the U.S. Under Patent and Copyright Law -- *Litecubes, LLC v. Northern Light Products, Inc.* 06-1646 -- April 28, 2008

In a 34-page opinion by Judge Gajarsa, the Federal Circuit upheld a finding of patent and copyright infringement, although based on different grounds than the lower court. The suit was for infringement of Litecubes' rights in lighted artificial ice cubes. The Federal Circuit decided Northern Light made "sales" in the U.S. for purposes of infringement under patent code section 271(a) notwithstanding that Northern Light shipped the infringing products to U.S. customers "f.o.b." (free on board) from Canada, which meant that legal title was transferred while the products were still in Canada. The court gave a similarly expansive meaning to "distribute" and "sale" in the Copyright Act. The court also decided the U.S. territorial requirement in patent and copyright law is an element that must be established to prove infringement, but is not a requirement for a court's subject matter jurisdiction over an action. (Personal jurisdiction over the defendant was not contested in this case.)

Federal Circuit Will Reconsider Business Method Patents -- *In re Bilski* 2007-1130 -- February 15, 2008

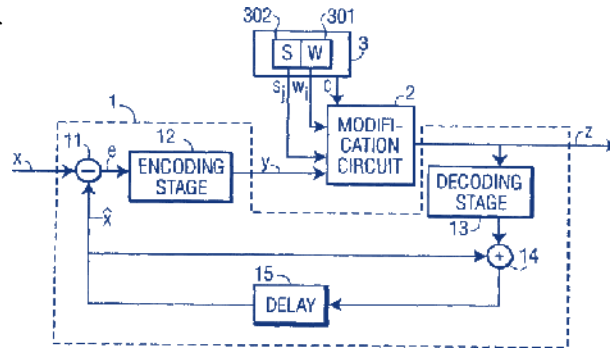
The Federal Circuit by its own action issued an order granting an en banc hearing in this case that has not yet been decided and posed five questions to be addressed by the parties in supplemental briefs. The questions were directed at the scope of patent-eligible subject matter under patent code section 101. Question 5 asks whether it is appropriate to reconsider the State Street Bank case, dealing with business method patents. The Bilski case is an appeal from the USPTO's rejection of patent claims for a method for managing the "consumption risk" of, for example, using more energy because of bad weather. The USPTO Board's opinion was 71 pages in length. (Normally IPO reports only precedential opinions and orders, but this non-precedential order is reported because of its importance.)

* Through May 2008

IPO's 4-Star Federal Circuit Summaries™

Three Judges Dissent From Denial of En Banc Rehearing of Whether an Electrical Signal is a Manufacture -- *In re Nuijten* 2006-1371 -- February 11, 2008

The Federal Circuit denied a petition for en banc review of its October 20, 2007 decision. A dissenting opinion was filed by Judge Linn, in which Judges Newman and Rader joined. In the October decision, a 3-judge panel held that an electrical signal was not a “manufacture” and therefore not patentable subject matter. In his dissent from denial of a rehearing en banc, Judge Linn, who also dissented from the October decision, said the USPTO has allowed a claim to a storage medium containing the same signal on the ground that the storage medium is a manufacture, while in the USPTO view signals are unpatentable under the “printed matter” doctrine. He said, “These distinctions make no practical sense” He urged a “more holistic approach” to whether a claim is directed only to an unpatentable abstraction.



U.S. Patent No. 6,507,299

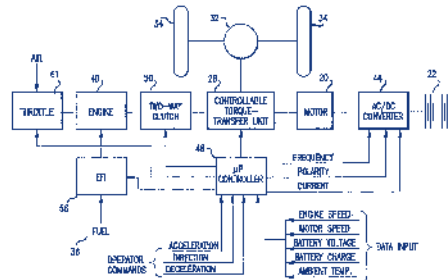
Federal Circuit Denies En Banc Rehearing of Decision That DC's Excessive Drug Pricing Act is Unconstitutional -- *Biotechnology Industry Organization v. District of Columbia* 2006-1593 -- October 30, 2007

The Federal Circuit by vote of 11 to 1 denied the District of Columbia's petition for an en banc rehearing of the court's August 1 three-judge decision that found the District's Excessive Drug Pricing Act unconstitutional. The act makes it unlawful to sell a patented drug for “an excessive price.” Judge Dyk dissented from the denial of the petition for rehearing. He said a state law is preempted only if it “(1) regulates in an area where federal regulation is exclusive (so-called field preemption) or (2) regulates in a way that conflicts with federal policy (so-called conflict preemption).” He disagreed with the 3-judge panel that the law was unconstitutional for conflict preemption, although he said the law “seeks to establish patent policy and thus is subject to field preemption.” A concurring opinion by Judge Gajarsa said Dyk's dissent was “grounded in sophistry.”

IPO's 4-Star Federal Circuit Summaries™

District Court Had Discretion to Order "Ongoing Royalty" or "Compulsory License" After Denying Injunction Against Patent Infringement -- *Paice LLC v. Toyota Motor Corp.* 2006-1610, -1631 -- October 18, 2007

In an opinion by Judge Prost, the Federal Circuit upheld a finding of equivalents infringement of Paice patents for hybrid electric vehicle transaxles, and upheld denial of an injunction. The accused device was Toyota's transaxle used in Prius II, Highlander and Lexus RX400h vehicles. The district court on its own initiative ordered an "ongoing royalty" of \$25 per infringing vehicle and specified payment terms. The Federal Circuit agreed the district court had discretion to order an ongoing royalty and Paice did not have a right to a jury trial, but remanded the case because the "order provides no reasoning to support the selection of \$25" In a footnote the Federal Circuit majority said the ongoing royalty rate was not a compulsory license because it was not available to other parties. Judge Rader, concurring, said that, ". . . calling a compulsory license an 'ongoing royalty' does not make it any less a compulsory license," and that the district court should have allowed the parties an opportunity to set the rate before setting it itself.



U.S. Patent No. 5,343,970

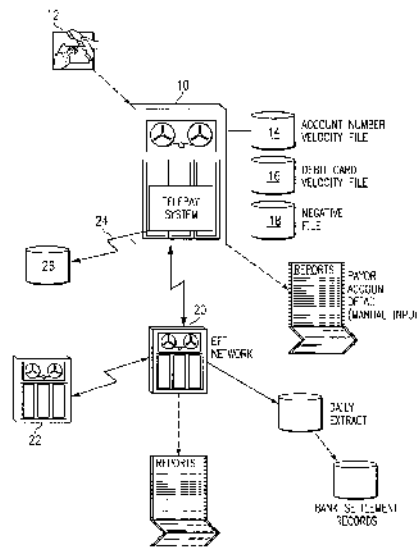
Exclusive Field of Use License Did Not Give Licensee Right to Sue for Infringement Without Joining Patent Owner -- *Int'l Gamco, Inc. v. Multimedia Games, Inc.* 2007-1034 -- October 15, 2007

In an opinion by Judge Rader, the Federal Circuit overturned a district court's refusal to dismiss Int'l Gamco's infringement suit for lack of standing to sue without joining the patent owner as a plaintiff. Int'l Gamco had an "exclusive enterprise license," which was an amalgam of an exclusive geographical license and an exclusive field of use license that gave the company exclusive rights in the patented gaming system in the New York lottery market. The Federal Circuit relied on the Supreme Court's 1892 Pope opinion, which said an exclusive license limited to an embodiment in an individual claim of a patent does not give standing to sue. Exclusive geographical licensees have standing to sue, but the Federal Circuit said giving the right to exclusive field of use licensees would create greater risks of multiple suits. Senior Judge Freidman filed a rare "dubitante" opinion -- an opinion by a judge who expresses doubt about a point but is unwilling to say it is wrong.

IPO's 4-Star Federal Circuit Summaries™

Party Did Not Infringe Financial Processing Patents by Performing Only Some Steps of Claimed Methods -- *BMC Resources, Inc. v. Paymentech, L.P.* 2006-1503 -- September 20, 2007

In an opinion by Judge Rader, the Federal Circuit upheld a summary judgment that Paymentech did not infringe BMC patents for methods of processing debit transactions without a personal identification number (PIN). Paymentech did not perform all of the steps of the methods itself or in coordination with its customers or financial institutions. The Federal Circuit said infringement requires “a showing that a defendant has practiced each and every element of the claimed invention.” BMC did not prove that the defendant controlled or directed the activity of other parties who performed some steps of the methods.



U.S. Patent No. 5,870,456

Business Method Claims That Depend Entirely on the Use of Mental Processes Do Not Contain Patentable Subject Matter -- *In re Comiskey* 2006-1286 -- September 20, 2007

In an opinion by Judge Dyk, the Federal Circuit ruled that applicant Comiskey's claims did not cover patentable subject matter under patent code section 101. The invention was a method for mandatory arbitration involving legal documents, such as wills or contracts. Method steps included “enabling a person to enroll,” “providing arbitration language,” “providing support to the arbitration,” etc. The method claims did not require the use of a mechanical device such as a computer. The USPTO rejected the claims as obvious, but the Federal Circuit decided the case under section 101. The court viewed the claims as “business method” claims. It reviewed earlier decisions including the famous 1998 *State Street Bank* case, and decided section 101 “does not allow patents to be issued on particular business systems – such as a particular type of arbitration – that depend entirely on the use of mental processes.” *State Street* was distinguishable because there a computer was a virtual necessity to complete the task. The Federal Circuit said, “When an unpatentable mental process is combined with a machine, the combination may produce patentable subject matter.” The case was remanded for a determination of whether Comiskey claims that added a computer to the process were obvious.

IPO's 4-Star Federal Circuit Summaries™

Federal Circuit Says Electrical Signal Not a “Manufacture” and Therefore Not Patentable Subject Matter -- *In re Nuijten 2006-1371* -- September 20, 2007

In an opinion by Judge Gajarsa, a split Federal Circuit upheld a USPTO decision that Nuijten's patent claim for a “signal” was unpatentable subject matter outside the scope of patent code section 101. The patent application disclosed a technique for reducing distortion induced by the introduction of “watermarks” into electrical or electromagnetic signals. The USPTO allowed claims for processes and devices involving the invention, but rejected claims to the signals themselves. The majority discussed whether signals are within any of the four categories of section 101: “processes,” “machines,” “manufactures” or “compositions of matter.” The most difficult question was with manufactures. “Transitory” signals do not fit within the definition of manufactures, which are “tangible articles or commodities.” It is “particularly true” that signals are not tangible articles or commodities if they are electromagnetic signals transmitted through a vacuum. Judge Linn dissented.

Judge Linn Says Signals are Manufactures -- *In re Nuijten 2006-1371* – dissenting opinion -- September 20, 2007

Judge Linn dissented from the Federal Circuit's decision that Nuijten's patent claim for a “signal” was unpatentable subject matter. He said the case was being decided against the backdrop of “ongoing controversy” over the wisdom of software patenting and the decision in the *State Street Bank* case. The Supreme Court has not limited “manufactures” to “non-transitory, tangible things.” The outer limits of statutory subject matter “should not depend on metaphysical distinctions such as those between hardware and software or matter and energy.” The majority opinion was at odds with the Supreme Court's 1853 opinion in *O'Reilly v. Morse* allowing a claim that was directed to a new and useful signal.

IPO's 4-Star Federal Circuit Summaries™

Federal Circuit Replaces Duty of Due Care Standard for Avoiding Enhanced Damages With "Objective Recklessness" Standard -- *In re Seagate Technology, LLC*, Misc. Doc. 830 -- August 20, 2007

In an en banc opinion authored by Judge Mayer, the Federal Circuit directed a district court to reconsider its orders granting discovery to the patent owners in an infringement action against Seagate. Seagate had raised an advice of counsel defense to an allegation of willful infringement, and the district court decided Seagate waived its attorney-client privilege for all communications between it and any of its counsel. The Federal Circuit made three major rulings: (1) It overruled its 1983 Underwater Devices opinion, which imposed a "duty of due care" on defendants, and held that proof of willful infringement permitting enhanced damages requires "at least a showing of objective recklessness." It emphasized that there is no affirmative obligation for defendants to obtain an opinion of counsel. (2) It held, as a general proposition, that asserting the advice of counsel defense and disclosing advice of opinion counsel does not constitute waiver of the attorney-client privilege for communications with trial counsel. (3) It held, as a general proposition, relying on opinion counsel's work product does not waive work product immunity with respect to trial counsel. In a footnote, it said it was not addressing the district court's discovery orders pertaining to Seagate's in-house counsel. Judge Gajarsa argued in a concurring opinion that the discretion of district courts to award enhanced damages should not be limited by willfulness.

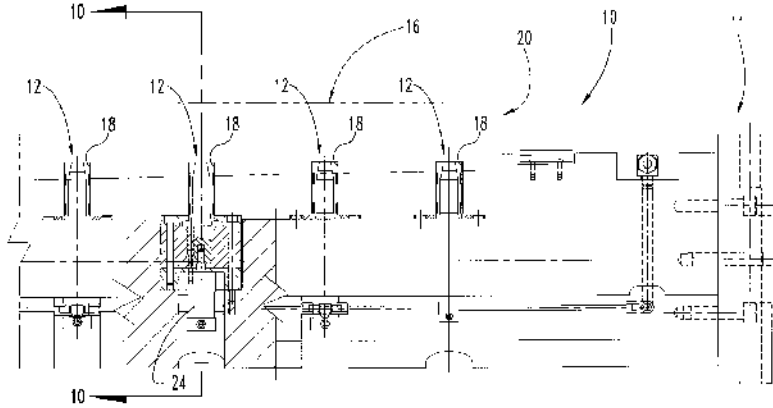
District of Columbia's Excessive Drug Pricing Act Applying to Patented Drugs Ruled Unconstitutional -- *Biotechnology Industry Organization v. District of Columbia* 2006-1593 -- August 1, 2007

In an opinion by Judge Gajarsa, the Federal Circuit upheld a district court decision that federal patent law preempts the authority of a state or the District of Columbia to make it unlawful to sell a patented drug for "an excessive price." The statute at issue was the District's "Prescription Drug Excessive Pricing Act of 2005." The Federal Circuit concluded the District "has chosen to re-balance the statutory framework of rewards and incentives [of patent law] insofar as it relates to inventive new drugs," and that the determination of the proper balance "between innovators' profit and consumer access to medication" is one for Congress to make. The District's law "stands as an obstacle to the federal patent law's balance of objectives" Threshold questions decided in favor of the plaintiffs were (1) whether the issue was one "arising under" the patent laws, thereby giving the Federal Circuit jurisdiction, and (2) whether the plaintiffs, who were trade associations, had standing to sue on behalf of their members.

IPO's 4-Star Federal Circuit Summaries™

USPTO Board Not Allowed to Base Factual Findings on Its Own Expertise -- in *Inter Partes Cases Brand v. Miller* 2006-1419 -- May 14, 2007

In an opinion by Judge Dyk, the Federal Circuit overturned a decision of the USPTO's Board of Patent Appeals and Interferences that Brand derived the invention from Miller, and therefore was not entitled to a patent. The invention was a method for cutting



U.S. Patent No. 5,865,232

veneer from logs of wood. Miller had communicated two drawings to Brand that showed some aspects of the invention. The USPTO board relied on its own expertise to decide that one skilled in the art would have recognized the invention from the drawings. The board did not cite any testimony or record evidence. The Federal Circuit reviewed the applicability of the Administrative Procedure Act and ruled that "it is impermissible for the Board to base its factual findings on its expertise, rather than on evidence in the record" in inter partes proceedings.

U.S. Supreme Court Decides Supplying Software Component of Patented Computer Invention for Copying Abroad and Installation on Computer is Not Infringement -- *Microsoft Corp. v. AT&T Corp.* 05-1056 -- April 30, 2007

In an opinion by Justice Ginsberg, the U.S. Supreme Court overturned a Federal Circuit decision that under patent code section 271(f) Microsoft infringed an AT&T patent for a computer loaded with speech compression software. Section 271(f) makes it infringement to supply components from the U.S. to be assembled abroad if the assembled components would infringe if located in the U.S. Microsoft sent Windows software with the speech compression feature to a foreign manufacturer on a master disk or by electronic transmission, and the foreign manufacturer copied the software for installation on computers made and sold abroad. The Supreme Court said, "Because Microsoft does not export from the United States the copies actually installed, it does not 'suppl[y] . . . from the United States' 'components' of the relevant computers, and therefore is not liable under section 271(f) as currently written." The court said section 271(f) is an exception to the general rule that patent law does not apply extraterritorially, and the court should not give the statute an expansive interpretation. Three justices concurred and Justice Stevens dissented. Chief Justice Roberts did not participate.

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U.S. Supreme Court Rejects Rigid Application of Federal Circuit's "Teaching, Suggestion or Motivation" Test for Obviousness -- *KSR International Co. v. Teleflex Inc.* 04-1350 -- April 30, 2007

In an opinion by Justice Kennedy, the U.S. Supreme Court overturned a decision of the Federal Circuit that had held Teleflex's patented invention was not obvious. The patent was for an adjustable automobile pedal assembly with an electronic sensor. The Supreme Court confirmed the framework set forth in its 1965 Graham opinion for applying the statutory language of patent code section 103, but decided the Federal Circuit's "teaching, suggestion, or motivation" test (TSM test) as rigidly applied in this case was improper. The Supreme Court said: "There is no necessary inconsistency between the idea underlying the TSM test and the Graham analysis. But when a court transforms the general principle into a rigid rule that limits the obviousness inquiry . . . it errs." The Federal Circuit erred by (1) looking only at the problem the patentee was trying to solve, (2) assuming that a person of ordinary skill attempting to solve a problem will be led only to those elements of prior art designed to solve the same problem, (3) concluding that a patent claim cannot be proved obvious by showing the combination of elements was "obvious to try," and (4) applying a rigid rule to prevent hindsight that denied factfinders "recourse to common sense." The Supreme Court noted that in more recent cases not before the Supreme Court the Federal Circuit "has elaborated a broader conception of the TSM test."

Federal Circuit Repudiates "Reasonable Apprehension of Suit" Standard for Patent Declaratory Judgment Actions and Might Allow DJ Actions in Response to Any Invitation to License -- *SanDisk Corp. v. STMicroelectronics, Inc.* 05-1300 -- March 26, 2007

In an opinion by Judge Linn, the Federal Circuit overturned the district court's dismissal of SanDisk's declaratory judgment suit for a ruling of patent invalidity and no infringement. Reacting to the Supreme Court's statement in a footnote in the January 2007 MedImmune case that the Federal Circuit's "reasonable apprehension of suit" standard for declaratory judgment actions was in conflict with Supreme Court decisions, the Federal Circuit repudiated the standard. ST presented an infringement analysis to SanDisk and asked for a royalty. The Federal Circuit said it did not need to define the outer boundaries of DJ jurisdiction. It held, "where a patentee asserts rights under a patent based on certain . . . activity of another party, . . . an Article III case or controversy will arise" In a concurring opinion, Judge Bryson called the Federal Circuit ruling "a sweeping change," and said, "I see no practical stopping point short of allowing declaratory judgment actions in virtually any case in which the recipient of an invitation to take a patent license elects to dispute the need for a license"

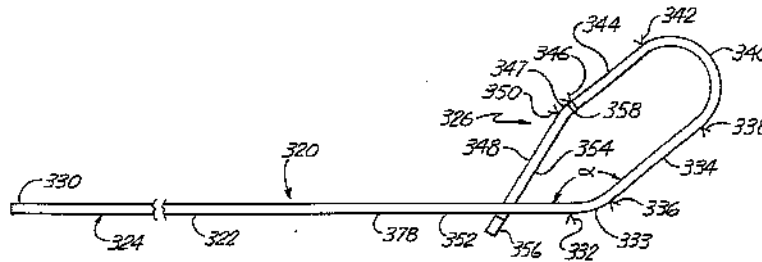
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Federal Circuit Says Disclaimer of Claim Scope in Parent Patent Application Must be Rescinded in Continuation Application -- *Hakim v. Canon Avent Group, PLC* 05-1398 -- February 23, 2007

In an opinion by Judge Newman, joined by Chief Judge Michel and Judge Rader, the Federal Circuit upheld a decision that limited patent claims issued in a continuation application to the same scope as claims allowed in the parent application. Hakim's patent was for a drinking cup that prevented the spilling of liquid. During prosecution of the parent application, Hakim emphasized that the term "slit" in claims 1 and 2 distinguished over the prior art. His continuation application that replaced "slit" with "opening" was accompanied by "an attorney letter stating that Hakim was broadening claims 1 and 2 . . ." The Federal Circuit thought the attorney letter was not enough. Judge Newman said, "Although a disclaimer made during prosecution can be rescinded, permitting recapture of the disclaimed scope, the prosecution history must be sufficiently clear to inform the examiner that the previous disclaimer, and the prior art . . . may need to be re-visited."

U.S. Courts Cannot Decide Issues of Infringement of Foreign Patents -- *Voda v. Cordis Corp.* 05-1238 -- February 1, 2007

In an opinion by Judge Gajarsa, a Federal Circuit majority overturned a decision by a district court that



U.S. Patent No. 5,445,625

it had jurisdiction to decide infringement of Voda's British, Canadian, French and German patents for a guiding catheter in addition to in-

fringement of the U.S. patent. Voda resides in Oklahoma and Cordis is incorporated in Florida. The majority did not decide whether Voda's foreign patent infringement claims were part of the "same case or controversy" under subsection (a) of the federal supplemental jurisdiction statute, 28 U.S.C. 1367, but decided the district court acted outside its discretion under subsection (c). The majority concluded a lengthy opinion by stating, ". . . several reasons . . . compel the district court to decline supplemental jurisdiction . . . : limitations imposed by treaties that are the 'supreme law of the land' and considerations of comity, judicial economy, convenience, and fairness." Judge Newman dissented.

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Federal Circuit Invites Briefs on Patent Law Questions Relating to Willful Infringement and Duty of Care -- *In re Seagate Technology, LLC Misc. Docket No. 830* -- January 26, 2007

In an en banc order, the Federal Circuit invited the parties and those wishing to file amicus briefs to answer three questions relating to the advice of counsel defense to willful patent infringement and the duty of care standard for avoiding patent infringement. The questions, with case citations omitted, are:

- “1. Should a party’s assertion of the advice of counsel defense to willful infringement extend waiver of the attorney-client privilege to communications with that party’s trial counsel? “
2. What is the effect of any such waiver on work-product immunity?
- “3. Given the impact of the statutory duty of care standard announced in *Underwater Devices, Inc. v. Morrison-Knudsen Co.* on the issue of waiver of attorney-client privilege, should this court reconsider the decision in *Underwater Devices* and the duty of care standard itself?”

Supreme Court Allows Licensee to Challenge Patent Validity While Continuing to Pay Royalties -- *Medimmune, Inc. v. Genentech, Inc. 05-608* -- January 9, 2007

In an opinion by Justice Scalia, the Supreme Court overturned a Federal Circuit holding that patent licensee Medimmune cannot challenge the validity of Genentech’s patent in a declaratory judgment suit while it is still paying royalties and otherwise complying with the license agreement. The effect of the decision is to permit Medimmune to challenge the patent and avoid damages greater than its royalties if its challenge is unsuccessful. The patent is for a drug used to prevent respiratory tract disease in young children. Relying on its 1943 decision in *Altwater* case and disagreeing with the Federal Circuit’s 2004 *Gen-Probe* decision, the Supreme Court said the validity dispute between the parties is a case or controversy within the meaning of Article III of the U.S. Constitution. Language in this opinion will require careful analysis; the opinion noted the apparent absence of contract language prohibiting a validity challenge and noted the discretion of district courts to refuse to accept declaratory judgment suits. Justice Thomas dissented.



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In re Seagate Technology, LLC (U.S. Court of Appeals for the Federal Circuit, No. 2006-M830)

IPO's brief stated that assertion of an advice of counsel defense to willful patent infringement SHOULD NOT waive the attorney client-privilege with respect to communications of trial counsel, nor should the work product of trial counsel be made available -- and that the court's 1983 decision in the Underwater Devices case should be reconsidered. The Federal Circuit issued a decision on August 20, 2007.

Quanta Computer, Inc. v. LG Electronics, Inc. (U.S. Supreme Court, No. 06-937)

IPO argued that a patent owner's ability to grant limited licenses is a right inherent in the patent grant and that conditional licensing of IP is a common practice in many industries.

Tafas v. Dudas and SmithKline Beecham Corp. v. Dudas (U.S. District Court for the Eastern District of Virginia, Nos. 1:07cv846 & 1:07cv1008)

IPO filed a brief saying that rule 1.78(f)(2) should be permanently barred from implementation. On April 1, 2008, the U.S. District Court issued a decision in the case.

Egyptian Goddess, Inc. v. Swisa, Inc. (U.S. Court of Appeals for the Federal Circuit, No. 2006-1562)

IPO urged the Court to issue an opinion "that clarifies the fundamental difference between design and utility patents and points in a new direction of design patent litigation that avoids excessive verbalization of the scope of design patents."

In re Bilski (U.S. Court of Appeals for the Federal Circuit, No. 2007-1130)

IPO said a process is patent-eligible subject matter "if it is tied to a particular machine or operates to transform matter into a different state or thing."

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